PHA 5-Year and	U.S. Department of Housing and Urban	OMB No. 2577-0226
Annual Plan	Development	Expires 4/30/2011
Ailliuai i iail	Office of Public and Indian Housing	

1.0	PHA Information								
	PHA Name: Housing Aut	hority of	the City of Ansonia	n PHA	Code: (CT-15			
	PHA Type: Small		Performing		HCV (Se	ection 8)			
	PHA Fiscal Year Beginning:		9		_ (,			
2.0	Inventory (based on ACC un			1.0 above)					
	Number of PH units: 227			umber of HCV units	: 675				
3.0	Submission Type								
			Annual Plan Only	v	5-Year Pla	ın Onlv			
4.0	PHA Consortia PHA Cons	sortia: (C							
	_	`		, ·		<u> </u>			
		PHA	Program(s)	Programs Not in	No. of U				
	Participating PHAs	Code	Included in the	the Consortia		rogram			
	Consortia PH HCV								
	PHA 1:								
	PHA 2:								
	PHA 3:	<u></u>							
5.0	5-Year Plan. Complete items	5.1 and	5.2 only at 5-Year Pla	an update.					
5.1	Mission. State the PHA's Mi extremely low income families The Housing Author opportunity and addiscrimination, to sand self-sufficiency opportunities, and densities, modern	ority of the ecess to support y, to explore to parting the housing	the City of Ansonidecent, safe, afforcommunity development of the community development of the community and integration of the community and the community	he next five years: a seeks to increated and he housing for the seconomistic rebuilding throof socio-economic	ese equal ree from ic oppor onal ough lov ic groups	l tunity ver s.			
5.2	Goals and Objectives. Iden PHA to serve the needs of low for the next five years. Include and objectives described in the server of the server	w-income le a repoi	and very low-income t on the progress the	e, and extremely lov	v-income f	families			
			See Attachment A						

(a) Identify all PHA Plan elements that have been revised by the PHA since its last Ann Plan submission: See Attachment B Identify the specific location(s) where the public may obtain copies of the 5-Year and PHA Plan. For a complete list of PHA Plan elements, see Section 6.0 of the instructions Copies of the Housing Agency Plan are available for public review at the Authority Main Office located at 36 Main Street, Ansonia, Connecticut. This location is when accessible.
See Attachment B Identify the specific location(s) where the public may obtain copies of the 5-Year and APHA Plan. For a complete list of PHA Plan elements, see Section 6.0 of the instructions Copies of the Housing Agency Plan are available for public review at the Authority Main Office located at 36 Main Street, Ansonia, Connecticut. This location is when
PHA Plan. For a complete list of PHA Plan elements, see Section 6.0 of the instructions Copies of the Housing Agency Plan are available for public review at the Authority Main Office located at 36 Main Street, Ansonia, Connecticut. This location is when
PHA Plan. For a complete list of PHA Plan elements, see Section 6.0 of the instructions Copies of the Housing Agency Plan are available for public review at the Authority Main Office located at 36 Main Street, Ansonia, Connecticut. This location is when
Copies of the Housing Agency Plan are available for public review at the Authority Main Office located at 36 Main Street, Ansonia, Connecticut. This location is when
Main Office located at 36 Main Street, Ansonia, Connecticut. This location is whe
e AHA intends to develop and submit an Allocation Plan for Designated Housing to owing property shown in the table below for the designation of 16 units.
a. Development names: John J. Stevens
o. Development (project) numbers: CT 15-2
Designation type:
Occupancy by only the elderly
Occupancy by families with disabilities
Occupancy by only elderly families and families with disabilities 🛛
Application status (select one)
Approved; included in the PHA's Designation Plan
Submitted, pending approval
Planned application 🗵
Date this designation approved, submitted, or planned for submission: Not
Known
If approved, will this designation constitute a (select one)
New Designation Plan
Revision of a previously-approved Designation Plan?
Coverage of action (select one)
Part of the development
Total development
· ····································

for b clea Vou	Authority does not anticipate a HOPE VI or a Choice Neighborhoods application ng FY 2012. Authority has submitted a demolition application for Riverside Apartments (CT 1 puildings #3, #4, #5 and #7. This second phase of demolition would result in the rance of all land south of High Street. The Agency intends to apply for Housing Coher Program relocation vouchers for existing households that would be affected demolition.
	Demolition/Disposition Activity Description
	Development name: Riverside Apartments
	Development (project) number: CT 15-1
∠. <i>P</i>	Activity type: Demolition $oxedsymbol{oxed}$ Disposition $oxedsymbol{\Box}$
3. A	Application status (select one)
	Approved
	Submitted, pending approval
<i>1</i> F	Planned application Date application approved, submitted, or planned for submission: (08/31/11)
	· · · · · · · · · · · · · · · · · · ·
_	Number of units affected: 59 Coverage of action (select one)
	Part of the development
	Total development
7.	Timeline for activity:
	a. Actual or projected start date of activity: 03/01/12
	b. Projected end date of activity: 03/01/14

8.0	Capital Improvements. Please complete Parts 8.1 through 8.3, as applicable.
8.1	Capital Fund Program Annual Statement/Performance and Evaluation Report. As part of the PHA 5-Year and Annual Plan, annually complete and submit the Capital Fund Program Annual Statement/Performance and Evaluation Report, form HUD-50075.1, for each current and open CFP grant and CFFP financing. See Attachment C for the 2012 Annual Statement Attachment D for 2009 ARRA Report Attachment E for P&E Reports
8.2	Capital Fund Program Five-Year Action Plan. As part of the submission of the Annual Plan, PHAs must complete and submit the Capital Fund Program Five-Year Action Plan, form HUD-50075.2, and subsequent annual updates (on a rolling basis, e.g., drop current year, and add latest year for a five year period). Large capital items must be included in the Five-Year Action Plan. See Attachment F for the Five-Year Action Plan
8.3	Capital Fund Financing Program (CFFP). ☐ Check if the PHA proposes to use any portion of its Capital Fund Program (CFP)/ Replacement Housing Factor (RHF) to repay debt incurred to finance capital improvements.
9.0	Housing Needs. Based on information provided by the applicable Consolidated Plan, information provided by HUD, and other generally available data, make a reasonable effort to identify the housing needs of the low-income, very low-income, and extremely low-income families who reside in the jurisdiction served by the PHA, including elderly families, families with disabilities, and households of various races and ethnic groups, and other families who are on the public housing and Section 8 tenant-based assistance waiting lists. The identification of housing needs must address issues of affordability, supply, quality, accessibility, size of units, and location. For an integrated presentation of needs and strategies to address these needs; See Section 9.1 below.

Strategy for Addressing Housing Needs. Provide a brief description of the PHA's strategy for addressing the housing needs of families in the jurisdiction and on the waiting list in the upcoming year. Note: Small, Section 8 only, and High Performing PHAs complete only for Annual Plan submission with the 5-Year Plan.

Need: Shortage of affordable housing for all eligible populations

Strategy 1. Maximize the number of affordable units available to the PHA within its current resources by:

- a. Reduce turnover time for vacated public housing units
- b. Seek replacement of public housing units lost to the inventory through mixed-finance development or relocation vouchers
- c. Maintain or increase section 8 lease-up rates by establishing payment standards that will enable families to rent throughout the jurisdiction
- d. Undertake measures to ensure access to affordable housing among families assisted by the AHA, regardless of unit size required
- e. Maintain or increase section 8 lease-up rates by marketing the program to owners, particularly those outside of areas of minority and poverty concentration
- f. Participate in the Consolidated Plan development process to ensure coordination with broader community strategies

Strategy 2: Increase the number of affordable housing units by:

- a. Apply for additional section 8 units should they become available
- b. Leverage affordable housing resources in the community through the creation of mixed-finance housing
- c. Pursue housing resources other than public housing or Section 8 tenant-based assistance.
- d. Implement a Project-Based Section 8 Housing Choice Voucher Program consistent with revised statutes
- e. Amend Section 8 Admin Plan to allow and promote use of Project-Based Housing Choice Vouchers in neighborhoods that are under a comprehensive revitalization and/or at other mixed-finance developments

Need: Specific Family Types: Families at or below 50% of median

a. Adopt rent policies to support and encourage work

Other Housing Needs & Strategies:

- a. Renovate or modernize public housing units: decrease density and modernize to current market standards
- b. Demolish or dispose of obsolete public housing: Modify or remove housing that does not promote security and safety, which proves more costly to modernize than to remove or replace, and provide appropriate replacement units
- c. Provide replacement affordable housing: In coordination with the redevelopment of properties, to determine the appropriate number of units which may be acquired to replace units which are demolished, taken off line for alternate purposes, or otherwise reduced in number by consolidation of unit

9.1

Additional Information. Describe the following, as well as any additional information HUD has requested.

(a) Progress in Meeting Mission and Goals. Provide a brief statement of the PHA's progress in meeting the mission and goals described in the 5-Year Plan.

See Attachment G

(b) Significant Amendment and Substantial Deviation/Modification. Provide the PHA's definition of "significant amendment" and "substantial deviation/modification"

The Housing Authority of the City of Ansonia considers a major change in the content of the Housing Agency Plan for the fiscal year starting 1/1/2012 to consist of one or more the following:

- 1. Implementation of program incentives to increase the number of working households in the program
- 2. A decision to submit a major application such as a Demolition/Disposition Application not already identified in the Plan
- 3. A decision to request a voluntary conversion of public housing to Section 8 Vouchers for tenants at the Riverside Apartments complex

For this fiscal year, the following actions are <u>NOT</u> to be considered major changes. These matters are included as part of ongoing discussions with tenant leaders and legal assistance advocates as part of the process, resulting in the baseline Housing Agency Plan for 2012.

- 1. Submission of an Allocation Plan for Designated Housing
- 2. Revisions to the Section 8 Administrative Plan to address program integrity, customer service and to implement policies and procedures to improve management of program utilization levels
- 3. Revisions to the ACOP to address program integrity and improve customer service
- 4. Policy changes to promote lease compliance or improved public safety
- 5. Decisions to open a waiting list for a specific period of time
- 6. Changes in the Capital Plan resulting in more than 50% of the funds being reprogrammed
- 7. Lease changes identified to reduce the level of illegal household members and guests in excess of the allowable days

See Attachment H for Public Comments

See Attachment I for Statement on VAWA

See Attachment J Statement on VAWA – LIPH

See Attachment K – Community Services Requirements

10.0

10.0 Required Submission for HUD Field Office Review. In addition to the PHA Plan template (HUD-50075), PHAs must submit the following documents. Items (a) through (g) may be submitted with signature by mail or electronically with scanned signatures, but electronic submission is encouraged. Items (h) through (i) must be attached electronically with the PHA Plan. Note: Faxed copies of these documents will not be accepted by the Field Office.

See Attachment L for Certifications

- (a) Form HUD-50077, PHA Certifications of Compliance with the PHA Plans and Related Regulations (which includes all certifications relating to Civil Rights)
- (b) Form HUD-50070, Certification for a Drug-Free Workplace (PHAs receiving CFP grants only)
- (c) Form HUD-50071, Certification of Payments to Influence Federal Transactions (PHAs receiving CFP grants only)
- (d) Form SF-LLL, *Disclosure of Lobbying Activities* (PHAs receiving CFP grants only)
- (e) Form SF-LLL-A, *Disclosure of Lobbying Activities Continuation Sheet* (PHAs receiving CFP grants only)
- (f) Resident Advisory Board (RAB) comments. Comments received from the RAB must be submitted by the PHA as an attachment to the PHA Plan. PHAs must also include a narrative describing their analysis of the recommendations and the decisions made on these recommendations.
- (g) Challenged Elements
- (h) Form HUD-50075.1, Capital Fund Program Annual Statement/Performance and Evaluation Report (PHAs receiving CFP grants only)
- (i) Form HUD-50075.2, Capital Fund Program Five-Year Action Plan (PHAs receiving CFP grants only)

Housing Authority of the City of Ansonia

Major 2012 Agency Goals & Objectives

Five Year Rolling Plan

Expand the supply of assisted housing

Objectives: \boxtimes Apply for additional rental youchers to address redevelopment and community needs, and reductions in current HUD funding levels. \boxtimes Increase the AHA's inventory of agency-owned and managed rental units through the leveraging of private or other public funds: As part of anticipated redevelopment processes, the Authority may seek public and/or private partnerships and funds (such as tax credits, limited partnerships, etc.) to maximize the opportunities available to the Authority for making quality of life changes to its properties. \boxtimes Research and analyze available or developable vacant property within Ansonia. The Authority may seek off-site scattered-site housing units to maintain the level of resident families served, as a function of the redevelopment of its properties. \boxtimes In cooperation with local officials and residents, revitalize the currently owned housing stock in a manner that meets the community's vision and

Improve the quality of assisted housing

improves each site's long-term viability.

Objectives: Improve public housing management: (PHAS score): Maintain at "high performer" standard Improve Housing Choice Voucher SEMAP score: Maintain at "high performer" standard Continue improvement of internal controls to ensure compliance with GAAP, HUD regulations, and grant/administrative procedures; Enhance and modify information technology to complement and improve business models and practices

	Increase customer satisfaction: Improve safety, security and staff
	responsiveness as demonstrated by resident satisfaction survey scores Concentrate on efforts to improve specific management functions: Implement cost saving efforts, seek grant opportunities to fund initiatives which benefit residents and participants, improve and upgrade systems and equipment as needed
\boxtimes	Renovate or modernize public housing units: decrease density and modernize to a market standard
	Demolish or dispose of obsolete public housing: Modify or remove housing which does not promote security and safety, which proves more costly to modernize than to remove or replace, and provide appropriate
	replacement units Identify possible replacement housing opportunities: Based on redevelopment initiatives, determine the appropriate number of units that may be acquired to replace units which are demolished, taken off line for alternate purposes, or otherwise reduced in number by consolidation of units
	Transform the Agency's public housing in housing of choice in strong communities through top-quality property management: The AHA has hired a Property Manager to address tenant relations, lease enforcement, vacancy turnover, security, curb appeal and preventative maintenance needs
	Develop and submit a Mixed Finance proposal to HUD for the transformation of Riverside Public Housing Development.
	Re-design the Agency's website to enhance communication between the AHA and its residents, contractors and other stakeholders
Incre	ease assisted housing choices
Objec	tives:
	Provide voucher mobility counseling to inform residents on the advantages of living in areas of lower concentrations of poverty, access to school systems, employment, etc.; provide residents with portability policies
\boxtimes	Conduct outreach efforts to potential Housing Choice Voucher landlords Adjust Housing Choice Voucher payment standards to levels that permit continued utilization in non-impacted areas
	Implement Housing Choice Voucher homeownership program: The homeownership program has been created and utilized by a small number of voucher holders; the Authority seeks to expand participation through cooperative partnership with CHFA and its participating lenders

Provide an improved living environment

Objectives:

	\boxtimes	Implement measures to de-concentrate poverty by bringing higher income
		public housing households into lower income developments
	\boxtimes	Implement measures to promote income mixing in public housing by assuring access for lower income families into higher income
		developments
		Develop a city-wide Resident Advisory Board (RAB) to promote tenant involvement and improve tenant relations
<u>Prom</u>	note s	elf-sufficiency and asset development of assisted households
	Object	tives:
		Promote the creation of resident-owned businesses and other employment opportunities through collaborations with City and other
	\boxtimes	service Provide or attract supportive services to increase independence for the
		elderly or families with disabilities (Seek grant funds/cooperative partnerships)
	\boxtimes	Increase the Family Self-Sufficiency program to full participation through improved outreach
Ensu	ıre ea	ual opportunity and affirmatively further fair housing
	-	
	Object	
		Undertake affirmative measures to ensure access to assisted housing regardless of race, color, religion national origin, sex, familial status, and
		disability (Educate consumers, clients, landlords, realtors, etc. on fair
		housing):
		Undertake affirmative measures to provide a suitable living environment for families living in assisted housing, regardless of race, color, religion
		national origin, sex, familial status, and disability (Improve safety,
		beautification, and market style improvements to grounds and units):
		Undertake affirmative measures to ensure accessible housing to persons with all varieties of disabilities regardless of unit size required:

ATTACHMENT B SUMMARY OF POLICY AND PROGRAM CHANGES SECTION 6(a)

6.0 PHA Plan Update

- (a) Identify all PHA Plan elements that have been revised by the PHA since its last Annual Plan submission.
- 1. Eligibility, Selection and Admissions Policies, including De-concentration and Wait List Procedures:

Households will continue to be required to disclose household member incarceration or probation status. Member incarcerated for more than 12 months must go off the lease and re-apply for program participation.

AHA will not deny admission to the Section 8 Housing Choice Voucher and Low Income Public Housing Programs to any person because that person is or has been a victim of domestic violence, dating violence, or stalking, provided that such person is otherwise qualified for such admission.

2. Financial Resources:

The following table is updated to accurately reflect the statement of financial resources for FY 2010:

	Sources	Planned \$	Planned Uses
1.	Federal Grants		
a)	Public Housing Operating Fund	\$1,253,523	
b)	Public Housing Capital Fund	CGP 501-09 \$ 37,113.61 CGP 501-10 \$283,761.35 CGP 501-11 \$320,936.00 CGP 501-11 \$ 39,622.00 CFRC \$ 10,263.69	
c)	Annual Contributions for Housing Choice Voucher Program	\$5,551,212.00	
d)	Resident Opportunity and Self Sufficiency	2008 \$ 61,163.78 2010 \$ 69,000.00 2010 \$240,000.00	
2.	Prior Years Federal Grants		
3.	Public Housing Dwelling Rental Income	\$476,041	
4.	Other Income (List Below)		
-	Section 8 FSS Coordinator	\$27,727.00	

5.	Non-Federal Sources	\$600,000.00	
Total I	Resources		

- 3. Rent Determination No change from previous submission
- 4. Operations and Management The AHA will be re-implementing a Vehicle Registration Policy and related requirements to establish a resident car registration policy and process for guest vehicles to be identified.
- **5. Grievance Procedures** No change from previous submission
- 6. Designated Housing for Elderly and Disabled Families:

This section has been revised to reflect the agency's intent to submit an application for only 16 units at John J. Stevens (CT15-2).

- 7. Community Service and Self-Sufficiency
- 8. Safety and Crime Prevention No change from previous submission
- 9. Pets No change from previous submission
- 10. Civil Rights Certification No change from previous submission
- 11. Fiscal Year Audit No change from previous submission
- 12. Asset Management:
- 13. Violence Against Women Act (VAWA) Modification to the Administrative Plan to include AHA policies and procedures regarding the Violence Against Women and Department of Justice Reauthorization Act of 2005 (Pub. L. 109-162) as they apply to participants in the Housing Choice Voucher (HCV) or Section 8 Program and to landlords, owners and property managers in that program regarding domestic violence, dating violence, and stalking. See Attachment I. Modification to the ACOP to include AHA policies and procedures regarding the Violence Against Women and Department of Justice Reauthorization Act of 2005 (Pub. L. 109-162) as they apply to participants in the Low Income Public Housing Program (LIPH) regarding domestic violence, dating violence, and stalking. See Attachment J.

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing
OMB No. 2577-0226

Annual Statement/Performance and Evaluation Report
Capital Fund Program, Capital Fund Program Replacement Housing Factor and
Capital Fund Financing Program

Expires 8/31/2011 FFY of Grant Approval: Expended FFY of Grant: Total Actual Cost 2012 Obligated Final Performance and Evaluation Report ☐ Revised Annual Statement (revision no: Revised² Capital Fund Program Grant No: CT26P015501-12 **Total Estimated Cost** Replacement Housing Factor Grant No: \$30,000.00 \$30,000.00 \$125,000.00 \$93,000.00 \$28,000.00 \$5,116.00 Grant Type and Number Original Date of CFFP: ☐ Reserve for Disasters/Emergencies **ANSONIA HOUSING AUTHORITY** 1410 Administration (may not exceed 10% of line 20) Performance and Evaluation report for Period Ending: 1406 Operations (may not exceed 20% of line 20)³ 1465.1 Dwelling Equipment - Nonexpendable Line Summary by Development Account 1492 Moving to Work Demonstration 1408 Management Improvements 1475 Non-dwelling Equipment 1470 Non-dwelling Structures 1499 Development Activities4 1415 Liquidated Damages 1460 Dwelling Structures 1495.1 Relocation Costs 1450 Site Improvement Original Annual Statement Total non-CFP Funds 1440 Site Acquisition 1430 Fees and Costs 1485 Demolition 1411 Audit Part I: Summary Type of Grant PHA Name: 15 16 9 17 Ξ 12 13 7 ~ 9 7 6

form HUD 50075.1 (4/2008)

¹To be completed for the Performance and Evaluation Report.

To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

³PHAs with under 250 units may use 100% of CFP Grants for Operations.

⁴RHF funds shall be included here.

U.S. Department of Housing and Urban Development Office of Public and Indian Housing OMB No. 2577-0226 Expires 8/31/2011

Annual Statement/Performance and Evaluation Report Capital Fund Program, Capital Fund Program Replacement Housing Factor and Capital Fund Financing Program

Part I: Summary	ummarv				
PHA Name:	ame:	Grant Type and Number Capital Fund Program Grant No: CT26P015501-12	T26P015501-12		FFY of Grant: FFY of Grant Approval:
→	ANSONIA HOUSING AUTHORITY	Replacement Housing Factor Grant No: Date of CFFP:	t No:	4	2012
Type of Grant	Grant				
O Oric		☐ Revised Ar	☐ Revised Annual Statement (revision no:	•	
	Ē	Final Perfc	Tinal Performance and Evaluation Report	t	
Line	Summary by Development Account	Total Estimated Cost	ated Cost	Total Ac	Total Actual Cost ¹
		Original	Revised ²	Obligated	Expended
18a	1501 Collateralization or Debt Service paid by the PHA				
18ba	9000 Collateralization or Debt Service paid Via System of Direct Payment				
19	1502 Contingency (may not exceed 8% of line 20)				
20	Amount of Annual Grant: (sum of lines 2 - 19)	\$311,116.00			\$0.00
21	Amount of line 20 related to LBP Activities				
22	Amount of line 20 related to 504 Activities				
23	Amount of line 20 related to Security - Soft Costs				
24	Amount of line 20 related to Security - Hard Costs				
25	Amount of line 20 related to Energy Conservation Measures				
Signatur	Signature of Executive Director	Date /	Signature of Public Housing Director	Director	Date
	Im I had bound	108/88/0			

¹To be completed for the Performance and Evaluation Report.

²To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

³PHAs with under 250 units may use 100% of CFP Grants for Operations.

⁴RHF funds shall be included here.

Expires 8/31/2011

Annual Statement/Performance and Evaluation Report Capital Fund Program, Capital Fund Program Replacement Housing Factor and Capital Fund Financing Program

				Status of Work						÷.				
	Grant:			Total Actual Cost		Funds	Expended ²					-		
	Federal FFY of Grant:	6	7107	Total Ac		Funds	Obligated ²							
				Total Estimated Cost		Revised ¹								
		15501-12		Total Esti		Original		30,000.00	30,000.00	125,000.00	75,000.00	18,000.00	28,000.00	5,116.00
	ber	Grant No: CT26P0	actor Grant No:	Quantity										
	Grant Type and Number	Capital Fund Program Grant No: CT26P015501-12	Replacement Housing Factor Grant No:	Development Account No.				1408	1410	1460	1465	1465	1470	1475
S			ANSONIA HOUSING AUTHORITY	General Description of Major Work Categories	0			Management	Administration	Roof replacement	New boilers, lighting	Boilers repair, controls	New roof	Vehicle repair, snow removal equipment
Part II: Supporting Pages	PHA Name:		ANSONIA HO	Development Number Name/PHA-Wide	Activities			HA-Wide	HA-Wide	Hynes	Stevens	Riverside	Tinney Center	HA-Wide

¹To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

²To be completed for the Performance and Evaluation Report.

Capital Fund Program, Capital Fund Program Replacement Housing Factor and Annual Statement/Performance and Evaluation Report Capital Fund Financing Program

U.S. Department of Housing and Urban Development

Capital Fund Program, Capital Fund F Capital Fund Financing Program	Capital Fund Financing Program Capital Fund Financing Program	eplacement Housing Fac	tor and		Office of Public and Indian Housing OMB No. 2577-0226 Expires 8/31/2011
Part III: Implementation	Part III: Implementation Schedule for Capital Fund Finan	d Financing Program			
PHA Name:					Federal FFY of Grant:
ANSONIA HOUSING AUTHORITY	NG AUTHORITY				2012
Development Number Name/PHA-Wide Activities	All Funds (Quarter E	All Funds Obligated (Quarter Ending Date)	All Funds Expended (Quarter Ending Date)	Expended ding Date)	Reasons for Revised Target Dates ¹
	Original Obligation End Date	Actual Obligation End Date	Original Expenditure End Date	Actual Expenditure End Date	
	•				
1406	7/14		2/16		
1408					
1410					
1430					
1450					
1460					
1465					
1470					
1475					
		*			

¹Obligation and expenditure end date can only be revised with HUD approval pursuant to Section 9j of the U.S. Housing Act of 1937, as amended.

Office of Public and Indian Housing OMB No. 2577-0226 Expires 4/30/2011 U.S. Department of Housing and Urban Development

Capital Fund Program, Capital Fund Program Replacement Housing Factor and Annual Statement/Performance and Evaluation Report Capital Fund Financing Program

Part I. Summary	IBM SEV				EApiles 4/30/2011
PHA Name:	me:	Grant Type and Number			FFY of Grant:
	ANSONIA HOUSING AUTHORITY	Capital Fund Program Grant No: CT01500000109R Rev. 1 Replacement Housing Factor Grant No: Date of CFFP:	r01500000109R Rev. 1 No:		FFY of Grant Approval: 2009
Type of Grant	Grant				
	☐ Original Annual Statement ☐ Reserve for Disasters/Emergencies	✓ Revised Anr	Revised Annual Statement (revision no: 1)	1)	
☐ Perfc	Performance and Evaluation report for Period Ending:	☐ Final Perfor	☐ Final Performance and Evaluation Report		
Line	Summary by Development Account	Total Estimated Cost	ted Cost	Total Ac	Total Actual Cost ¹
		Original	Revised ²	Obligated	Expended
1	Total non-CFP Funds				-
2	1406 Operations (may not exceed 20% of line 21) ³				
3	1408 Management Improvements				
4	1410 Administration (may not exceed 10% of line 21)				
5	1411 Audit				
9	1415 Liquidated Damages				
7	1430 Fees and Costs	\$0.00	\$40,000.00		\$30,476.31
8	1440 Site Acquisition				
6	1450 Site Improvement				
10	1460 Dwelling Structures	\$682,500.00	\$642,500.00		\$642,500.00
11	1465.1 Dwelling Equipment - Nonexpendable				
12	1470 Non-dwelling Structures				
13	1475 Non-dwelling Equipment				
14	1485 Demolition	,			
15	1492 Moving to Work Demonstration				
16	1495.1 Relocation Costs				
17	1499 Development Activities ⁴				

¹To be completed for the Performance and Evaluation Report.

²To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

 $^{^3\}mathrm{PHAs}$ with under 250 units may use 100% of CFP Grants for Operations $^4\mathrm{RHF}$ funds shall be included here.

Office of Public and Indian Housing OMB No. 2577-0226 Expires 4/30/2011 U.S. Department of Housing and Urban Development

Capital Fund Program, Capital Fund Program Replacement Housing Factor and Annual Statement/Performance and Evaluation Report Capital Fund Financing Program

Part I: Summary	ummary				Expues 4/30/2011
PHA Name:	ame:	Grant Type and Number			FFY of Grant:
—————————————————————————————————————	ANSONIA HOUSING AUTHORITY	Capital Fund Program Grant No: CT01500000109R Rev. 1 Replacement Housing Factor Grant No: Date of CFFP:	CT01500000109R Rev. 1 nt No:		FFY of Grant Approval: 2009
Type of Grant	Grant				
oric	☐ Original Annual Statement ☐ Reserve for Disasters/Emergencies	☑ Revised A	Revised Annual Statement (revision no: 1	1)	
□ Perf	Performance and Evaluation report for Period Ending:	☐ Final Per	☐ Final Performance and Evaluation Report		
Line	Summary by Development Account	Total Estin	Total Estimated Cost	Total Ac	Total Actual Cost ¹
	•	Original	Revised ²	Obligated	Expended
18a	1501 Collateralization or Debt Service paid by the PHA				
18ba	9000 Collateralization or Debt Service paid Via System of Direct Payment				*
19	1502 Contingency (may not exceed 8% of line 20)				
20	Amount of Annual Grant: (sum of lines 2 - 19)	\$682,500.00	\$682,500.00		\$672,976.31
21	Amount of line 20 related to LBP Activities				
22	Amount of line 20 related to 504 Activities				
23	Amount of line 20 related to Security - Soft Costs				
24	Amount of line 20 related to Security - Hard Costs				
25	Amount of ling 20 related to Energy Conservation Measures	\$682,500.00	\$682,500.00		
Signatur	Signature of Executive Director		Signature of Public Housing Director	Director	Date
	Mmon 4 Malle	03/22/20			
	11 11	//			

To be completed for the Performance and Evaluation Report.

To be completed for the reformance and Evaluation Report or a Revised Annual Statement.

³HAs with under 250 units may use 100% of CFP Grants for Operations.

RHF funds shall be included here.

Annual Statement/Performance and Evaluation Report Capital Fund Program, Capital Fund Program Replacement Housing Factor and Capital Fund Financing Program

Part II: Supporting Pages								
PHA Name:		Grant Type and Number)er			Federal FFY of Grant:	Frant:	
		Capital Fund Program Grant No. CT01500000109R Rev. 1	rant No: CT01500	000109R Rev. 1		0		
ANSONIA HC	ANSONIA HOUSING AUTHORITY	CFFF (Yes/No) No Replacement Housing Factor Grant No:	actor Grant No:			5003		
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost	nated Cost	Total Actual Cost	ual Cost	Status of Work
				Original	Revised ¹	Funds Obligated ¹	Funds Expended ¹	
Stevens, Hynes	A/E	1430		0.00	40,000.00		30,476.31	-
Stevens	Energy efficient lighting	1460		15,000.00	15,000.00		00:00	
Stevens	Energy efficient boilers (4)	1460		48,000.00	48,000.00		00.00	
Hynes	Insulation and energy efficient roofs (8)	1460		207,500.00	167,500.00		00.00	
Hynes	Heat pumps (74)	1460		370,000.00	370,000.00		00:0	
Hynes	Energy efficient water heaters (60)	1460		42,000.00	42,000.00		00.00	
	Total 1460						642,500.00	
	TOTAL			682,500.00	682,500.00		672,976.31	

¹To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

Annual Statement/Performance and Evaluation Report
Capital Fund Program, Capital Fund Program Replacement Housing Factor and
Capital Fund Financing Program
CT0150000109R Rev. 1

Office of Public and Indian Housing	OMB No. 2577-0226	Expires 4/30/2011
ogram Replacement Housing Factor and	CT0150000109R Rev. 1	

U.S. Department of Housing and Urban Development

Reasons for Revised Target Dates1 Federal FFY of Grant: 2009 Actual Expenditure End Date (Quarter Ending Date) All Funds Expended Original Expenditure End Date 9/12 9/12 Actual Obligation Part III: Implementation Schedule for Capital Fund Financing Program End Date (Quarter Ending Date) All Funds Obligated Obligation End Original ANSONIA HOUSING AUTHORITY Date 9/10 9/10 Development Number Name/PHA-Wide 1430 1460 PHA Name: Activities

Obligation and expenditure end date can only be revised with HUD approval pursuant to Section 9j of the U.S. Housing Act of 1937, as amended.

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing
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Expires 4/30/2011

Annual Statement/Performance and Evaluation Report Capital Fund Program, Capital Fund Program, Capital Fund Financing Program

Part I: S	Part I: Summary			manus.	Expires 4/30/2011
DHA Name.	omo.				
NI CIT I		Crant 1ype and Number Capital Fund Program Grant No: CT26P01550109	T26P01550109		FFY of Grant: FFY of Grant Approval:
A	ANSONIA HOUSING AUTHORITY	Replacement Housing Factor Grant No: Date of CFFP:	No:		2009
Type of Grant	Grant				
□ Origi	☐ Original Annual Statement ☐ Reserve for Disasters/Emergencies	✓ Revised An	✓ Revised Annual Statement (revision no: 2)	2)	
□ Perf	Performance and Evaluation report for Period Ending:	☐ Final Perfo	☐ Final Performance and Evaluation Report		
Line	Summary by Development Account	Total Estimated Cost	ited Cost	Total Act	Total Actual Cost ¹
		Original	Revised ²	Obligated	Expended
-	Total non-CFP Funds			D	
2	1406 Operations (may not exceed 20% of line 21) ³	\$25,409.00	\$25,409.00	\$25,409.00	\$25 409 00
3	1408 Management Improvements	\$90,205.00	\$30,205.00	\$30,205.00	
4	1410 Administration (may not exceed 10% of line 21)	\$45,102.00	\$25,545.08	\$25.545.08	\$25 545 08
5	1411 Audit				00.01
9	1415 Liquidated Damages				
7	1430 Fees and Costs	\$20,000.00	\$5,444.38	\$5,444.38	\$5 444 38
8	1440 Site Acquisition				
6	1450 Site Improvement	\$22,500.00	\$22,500.00	\$22,500.00	\$22 500 00
10	1460 Dwelling Structures	\$143,762.00	\$77,874.54	\$77,874.54	\$43.237.00
11	1465.1 Dwelling Equipment - Nonexpendable	\$20,700.00	\$202,846.00	\$202,846.00	\$202,846.00
12	1470 Non-dwelling Structures	\$22,500.00	\$22,500.00	\$22,500.00	\$22,500.00
13	1475 Non-dwelling Equipment	\$38,700.00	\$38,700.00	\$38,700.00	\$38 700 00
14	1485 Demolition				
15	1492 Moving to Work Demonstration				
16	1495.1 Relocation Costs				
17	1499 Development Activities ⁴				

¹To be completed for the Performance and Evaluation Report.

²To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

³PHAs with under 250 units may use 100% of CFP Grants for Operations.

⁴RHF funds shall be included here.

U.S. Department of Housing and Urban Development Office of Public and Indian Housing OMB No. 2577-0226

Annual Statement/Performance and Evaluation Report
Capital Fund Program, Capital Fund Program Replacement Housing Factor and
Capital Fund Financing Program

Part I: Summary	ummary				Expires 4/30/2011
PHA Name:	ıme:	Grant Type and Number			FFY of Grant:
	ANSONIA HOUSING AUTHORITY	Capital Fund Program Grant No: CT26P01550109 Replacement Housing Factor Grant No:	T26P01550109 . No:		FFY of Grant Approval: 2009
		Date of Crrr.			
Orig	☐ Original Annual Statement ☐ Reserve for Disasters/Emergencies	✓ Revised An	Revised Annual Statement (revision no: 2)	2)	
□ Perf	Performance and Evaluation report for Period Ending:	☐ Final Perfo	☐ Final Performance and Evaluation Report		
Line	Summary by Development Account	Total Estimated Cost	ated Cost	Total Act	Total Actual Cost1
	•	Original	Revised ²	Obligated	Expended
18a	1501 Collateralization or Debt Service paid by the PHA				
18ba	9000 Collateralization or Debt Service paid Via System of				
		1			
19	1502 Contingency (may not exceed 8% of line 20)	\$22,146.00	\$0.00	\$0.00	\$0.00
20	Amount of Annual Grant: (sum of lines 2 - 19)	\$451,024.00	\$451,024.00	\$451,024.00	\$416,386.46
21	Amount of line 20 related to LBP Activities				
22	Amount of line 20 related to 504 Activities				
23	Amount of line 20 related to Security - Soft Costs				
24	Amount of line 20 related to Security - Hard Costs				
25	Amount of line 20 Tetated to Energy Conservation Mwasures				
Signatur	Signature of Expediive Director	Date / S	Signature of Public Housing Director	irector	Date
	Line of halle	03/29/2W2			
		nother!			

^{// //} To be completed for the Performance and Evaluation Report.

²To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

³PHAs with under 250 units may use 100% of CFP Grants for Operations.

⁴RHF funds shall be included here.

Capital Fund Program, Capital Fund Program Replacement Housing Factor and Annual Statement/Performance and Evaluation Report Capital Fund Financing Program

Part II. Sunnorting Pages	٥						E	Expires 4/30/2011
rait II. Supporting rage								
PHA Name:		Grant Type and Number	ber			Federal FFY of Grant:	Grant:	
		Capital Fund Program Grant No: CT26P01550109	Grant No: CT26P01	550109				
ANSONIA HO	ANSONIA HOUSING AUTHORITY	CFFF (Yes/No) Replacement Housing Factor Grant No:	Factor Grant No:			5009		
Development Number Name/PHA-Wide	General Description of Major Work	Development Account No	Quantity	Total Estimated Cost	nated Cost	Total Actual Cost	tual Cost	Status of Work
Activities								
				Original	Revised1	Funds	Funds	
						Obligated ²	Expended ²	
	OPERATIONS							
HA Wide	Clerical, data input, financial, maint. Clerk of the Works	1406		25,409.00	25,409.00	25,409.00	25,409.00	-d
Pi/XX VII	MGMT IMPROVEMENT	1408 1		00 000 30	1,000,00	12 000 00	00 000 C1	
nA wide	Staff training, QHWRA, certification	1408.1		23,000.00	12,000.00	12,000.00	12,000.00	
	Community Centers: staff training, resident	1408.2		35,000.00	7,205.00	7,205.00	7,205.00	
	mgmt., training							
	Software update: CHAS, PHAWeb (or new vendor), Lotus, Microsoft	1408.3		30,205.00	11,000.00	11,000.00	11,000.00	
	SUBTOTAL	1408		90,205.00	30,205.00	30,205.00	30,205.00	
	ADMINISTRATION							
HA Wide	Prorated salary & Benefits, Exec. Director, Dep.	1410.1		15,000.00	14,456.86	14,456.86	14,456.86	
	Director							
	Director of Fac. Programs	1410.2		15,000.00	6,126.88	6,126.88	6,126.88	
	Mod. Asst, part time, 20 hrs/wk	1410.3		15,102.00	4,961.34	4,961.34	4,961.34	
	SUBTOTAL	1410		45,102.00	25,545.08	25,545.08	25,545.08	

 $^{^1}$ To be completed for the Performance and Evaluation Report or a Revised Annual Statement. 2 To be completed for the Performance and Evaluation Report.

Office of Public and Indian Housing OMB No. 2577-0226 U.S. Department of Housing and Urban Development

Expires 4/30/2011

Capital Fund Program, Capital Fund Program Replacement Housing Factor and Annual Statement/Performance and Evaluation Report Capital Fund Financing Program

Part II: Supporting Pages	S							
PHA Name:		Grant Type and Number	er			Federal FFY of Grant:	Frant:	
		Capital Fund Program Grant No: CT26P01550109	irant No: CT26P01	550109				
ANSONIA HO	ANSONIA HOUSING AUTHORITY	CFFF (Yes/No) Replacement Housing Factor Grant No:	actor Grant No:			5005		
Development Number	General Description of Major Work	Development	Quantity	Total Estimated Cost	nated Cost	Total Actual Cost	ual Cost	Status of Work
Name/PHA-Wide Activities	Categories	Account No.						
				Original	Revised1	Funds	Funds	
						Obligated ²	Expended ²	
	FEE & COSTS							
15-1	A/E Consultant - boiler upgrades, secure entry,	1430.3		10,000.00	5.444.38	5.444.38	5.444.38	_
4	roofing, rehab feasability, demolition study,) !	î)) (
	environmental study							***
	A/E Consultant - grant writing, youth programs,							**
HA Wide	construction document prep, New Haven	1430.9		10.000.00	00.0	00.0	00.00	
2011.	Foundation, KM Foundation grants, Needs	```						
	Assessment, misc. grant prep.							
	SUBTOTAL	1430		20,000.00	5,444.38	5,444.38	5,444.38	
15-1, 15-3	SITE IMPROVEMENTS	1450		8.000.00	8.000.00	8.000.00	8.000.00	
	Repair trash enclosures, trash bins		Ü					
15-1	Repair masonry, stairs, asphalt&curbs	1450.11		10,000.00	10,000.00	10,000.00	10,000.00	
15-2	Exterior masonry, walkways	1450.21	. •	1,700.00	1,700.00	1,700.00	1,700.00	
15-3	Sidewalk replacement, front entries	1450.31		2,800.00	2,800.00	2,800.00	2,800.00	
	SUBTOTAL	1450		22,500.00	22,500.00	22,500.00	22,500.00	

 $^{^1}$ To be completed for the Performance and Evaluation Report or a Revised Annual Statement. 2 To be completed for the Performance and Evaluation Report.

Part II: Supporting Pages	S						Ã	Expires 4/30/2011
PHA Name:		Grant Type and Number	ber			Federal FFY of Grant:	Frant:	
; ; ;		Capital Fund Program Grant No: CT26P01550109 (Yes/No)	Frant No: CT26P01	550109		0000		
ANSONIA HO	ANSONIA HOUSING AUTHORITY	Replacement Housing Factor Grant No.	actor Grant No:			6007		
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost	nated Cost	Total Actual Cost	ual Cost	Status of Work
				Original	Revised	Funds	Funds	
						Obligated ²	Expended ²	
	DWELLING STRUCTURE							
15-1	Lead paint removal, security screen at 3rd floor, VCT floor replacement, kitchen cabinets, glass,	1460.11		83,762.00	67,874.54	67,874.54	33,237.00	-
	cycle painting, stair replacement, boiler room							~
15-2	Unit doors, tile replacement, rear stair replacement	1460.21		30,000.00	1,000.00	1,000.00	1,000.00	
15-3	Inside stairs, appliances, upgrade range hoods, painting, remodel baths	1460.31		30,000.00	9,000.00	9,000.00	9,000.00	
	SUBTOTAL	1460		143,762.00	77,874.54	77,874.54	43,237.00	• • • • • • • • • • • • • • • • • • • •
15-1	DWELLING EQUIPMENT Appliances, laundry rooms, hot water heaters, hall lighting, radiators, boiler upgrade	1465		20,700.00	202,846.00	202,846.00	202,846.00	
	SUBTOTAL	1465	. *	20,700.00	202,846.00	202,846.00	202,846.00	

 $^{^{1}}$ To be completed for the Performance and Evaluation Report or a Revised Annual Statement. 2 To be completed for the Performance and Evaluation Report.

Dand II. Cumporting Dage								
rart II: Supporting rages		Grant Tyne and Number	er			Federal FFY of Grant:	rant:	
PHA Name:		Capital Fund Program Grant No: CT26P01550109	rant No: CT26P01	550109		000		
OH AINOSNA	ANSONIA HOUSING AUTHORITY	CFFF (TeS/No) Replacement Housing Factor Grant No:	actor Grant No:		`	6007		
Development Number Name/PHA-Wide	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost	lated Cost	Total Actual Cost	ual Cost	Status of Work
Activities				Original	Revised ¹	Funds Obligated ²	Funds Expended ²	
HA Wide	NON-DWELLING STRUCTURE Cooling system in Main office, upgrade	1470.11		1,700.00	1,700.00	1,700.00	1,700.00	-
15-1	Dasement storage area Repair balcony grates, replace stairs, maintenance garage, boiler room	1470.21		18,000.00	18,000.00	18,000.00	18,000.00	****
15-2	Replice circulators, boilers	1470.31		1,800.00	1,800.00	1,800.00	1,800.00	
15-3	Replace trash bins, clean Comm. Center, replace	1470.41		1,000.00	1,000.00	1,000.00	1,000.00	
	SUBTOTAL	1470		22,500.00	22,500.00	22,500.00	22,500.00	
HA Wide	NON-DWELLING EQUIPMENT Lawn equipment, snow removal equip., vehicle repair/replacement, mail sorter, file cabinets, computers, copier leasing, Tinney Center	1475.1		25,200.00	25,200.00	25,200.00	25,200.00	
	basement entry Mail sorter, file cabinets, computers, copier	1475.2	-	4,500.00	4,500.00	4,500.00	4,500.00	
	Tinney Ctr. Basement entry	1475.3		9,000.00	9,000.00	9,000.00	9,000.00	
	SUBTOTAL	1475		38,700.00	38,700.00	38,700.00	38,700.00	
HA Wide	CONTINGENCY SUBTOTAL	1502		22,146.00	0.00	0.00	0.00	

¹To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

²To be completed for the Performance and Evaluation Report.

Annual Statement/Performance and Evaluation Report Capital Fund Program, Capital Fund Program Replacement Housing Factor and Capital Fund Financing Program

Office of Public and Indian Housing
OMB No. 2577-0226
Expires 4/30/2011

U.S. Department of Housing and Urban Development

Obligation End Date Date 9/11 9/11 9/11 9/11 9/11 9/11 9/11

¹Obligation and expenditure end date can only be revised with HUD approval pursuant to Section 9j of the U.S. Housing Act of 1937, as amended.

U.S. Department of Housing and Urban Development Office of Public and Indian Housing OMB No. 2577-0226

Annual Statement/Performance and Evaluation Report
Capital Fund Program, Capital Fund Program Replacement Housing Factor and
Capital Fund Financing Program

\$0.00 \$0.00 \$145,057.03 \$24,283.62 \$0.00 Expires 4/30/2011 \$3,397.94 FFY of Grant Approval: Expended FFY of Grant: Total Actual Cost 2010 \$564.00 \$233,614.38 \$44,765.00 \$24,283.62 \$57,116.00 Obligated Revised Annual Statement (revision no: 1) ☐ Final Performance and Evaluation Report 564.00 0.00 24,283.62 298,716.38 44,765.00 22,207.00 57,116.00 Revised² Capital Fund Program Grant No: CT26P01550110 Total Estimated Cost Replacement Housing Factor Grant No: \$0.00 \$0.00 \$564.00 \$44,765.00 \$60,000.00 \$60,000.00 \$263,000.00 Grant Type and Number Original Date of CFFP: ☐ Reserve for Disasters/Emergencies ANSONIA HOUSING AUTHORITY 1410 Administration (may not exceed 10% of line 21) Performance and Evaluation report for Period Ending: 1406 Operations (may not exceed 20% of line 21)³ 1465.1 Dwelling Equipment - Nonexpendable Line Summary by Development Account 1492 Moving to Work Demonstration 1408 Management Improvements 1475 Non-dwelling Equipment 1470 Non-dwelling Structures 1499 Development Activities4 1415 Liquidated Damages 1460 Dwelling Structures 1495.1 Relocation Costs 1450 Site Improvement 1440 Site Acquisition Original Annual Statement Total non-CFP Funds 1430 Fees and Costs 1485 Demolition 1411 Audit Part I: Summary Type of Grant PHA Name: 17 10 16 12 13 15 14 7 9 ∞ 6 Π

To be completed for the Performance and Evaluation Report.

²To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

³PHAs with under 250 units may use 100% of CFP Grants for Operations.

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U.S. Department of Housing and Urban Development
Office of Public and Indian Housing
OMB No. 2577-0226
Expires 4/30/2011

Annual Statement/Performance and Evaluation Report
Capital Fund Program, Capital Fund Program Replacement Housing Factor and
Capital Fund Financing Program

Part I: Summary	ımmarv				
PHA Name:	me:	Grant Type and Number			FFY of Grant:
	ANSONIA HOUSING AUTHORITY	Capital Fund Program Grant No: CT26P01550110 Replacement Housing Factor Grant No: Date of CFFP:	CT26P01550110 nt No:		FFY of Grant Approval: 2010
Type of Grant	Frant				
	☐ Original Annual Statement ☐ Reserve for Disasters/Emergencies	✓ Revised A	☑ Revised Annual Statement (revision no: 1	1)	
□ Perfc	Performance and Evaluation report for Period Ending:	☐ Final Perf	Final Performance and Evaluation Report		
Line	Summary by Development Account	Total Estimated Cost	nated Cost	Total Act	Total Actual Cost ¹
	•	Original	Revised ²	Obligated	Expended
18a	1501 Collateralization or Debt Service paid by the PHA				-
18ba	9000 Collateralization or Debt Service paid Via System of Direct Payment				
19	1502 Contingency (may not exceed 8% of line 20)	\$19,323.00	\$0.00		\$0.00
20	Amount of Annual Grant: (sum of lines 2 - 19)	\$447,652.00		\$363,227.00	\$172,738.59
21	Amount of line 20 related to LBP Activities				
22	Amount of line 20 related to 504 Activities				
23	Amount of line 20 related to Security - Soft Costs				
24	Amount of line 20 related to Security - Hard Costs				
25	Amount of line 20 related to Energy Conservation Mwasures				
Signature	Signature of Executive Director	Date /	Signature of Public Housing Director	Director	Date
	Kom I mille	3/39/2012			
		ka /			

¹To be completed for the Performance and Evaluation Report.

²To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

³PHAs with under 250 units may use 100% of CFP Grants for Operations.

⁴RHF funds shall be included here.

Capital Fund Program, Capital Fund Program Replacement Housing Factor and Annual Statement/Performance and Evaluation Report Capital Fund Financing Program

		Grant Type and Number	Ser			Federal FFY of Grant:		Expires 4/30/2011
ANSONIA HOUSING AUTHORITY	ORITY	Capital Fund Program Grant No: CT26P01550110 (CFFP (Yes/No) Replacement Housing Factor Grant No:	Grant No: CT26P01 actor Grant No:	550110		2010		
General Desc	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost	nated Cost	Total Actual Cost	ual Cost	Status of Work
				Original	Revised ¹	Funds Obligated ²	Funds Expended ²	
	Operations	1406		564.00	564.00	564.00	00.00	
4	Administration	1410		44,765.00	44,765.00	44,765.00	3,397.94	
Ħ	Fees and Costs	1430		60,000.00	0.00		00.0	~.
Site	Site Work/Security	1450		60,000.00	24,283.62	24,283.62	24,283.62	٠.
Roofs, elec	Roofs, electrical work, fire alarms	1460		263,000.00	298,716.38	233,614.38	145,057.03	
Boil	Boiler repair, controls	1465		0.00	22,207.00	,	0.00	
	Vehicles	1475		00.00	57,116.00	57,116.00	00.0	
	Contingency	1502		19,323.00	00.00		0.00	

 $^{^1}$ To be completed for the Performance and Evaluation Report or a Revised Annual Statement. 2 To be completed for the Performance and Evaluation Report.

Annual Statement/Performance and Evaluation Report Capital Fund Program, Capital Fund Program Replacement Housing Factor and Capital Fund Financing Program

OMB No. 2577-0226 Expires 4/30/2011

Office of Public and Indian Housing

U.S. Department of Housing and Urban Development

ANSONIA HOUSING AUTHORITY AUI Funds Deligated AUI Funds Expended Reasons for Revised Target Dates	ementation	Part III: Implementation Schedule for Capital Fund Financing Program	d Financing Program			Rodorn REV of Cront.
Funds Obligated	_	GAUTHORITY				reactal FF 1 of Glant. 2010
ter Ending Date) (Quarter Ending Date) Actual Obligation Original Expenditure End Date End Date End Date End Date 7/14 7/14 7/14 7/14 7/14 7/14 7/14 7/14 7/14 7/14 7/14 7/14		All Funds	Obligated	All Funds	Expended	Reasons for Revised Target Dates ¹
Actual Obligation		(Quarter En	iding Date)	(Quarter En	ding Date)	
	1	Original Obligation End Date	Actual Obligation End Date	Original Expenditure End Date	Actual Expenditure End Date	
	\Box	7/12		7/14		
	I	7/12		7/14		
	I	7/12		7/14		
		7/12		7/14		
		7/12		7/14		
	l	7/12		7/14		
		7/12		7/14		
		7/12		7/14		
					-	
	Π					
	I					

¹Obligation and expenditure end date can only be revised with HUD approval pursuant to Section 9j of the U.S. Housing Act of 1937, as amended.

Office of Public and Indian Housing OMB No. 2577-0226 Expires 8/31/2011 U.S. Department of Housing and Urban Development

Capital Fund Program, Capital Fund Program Replacement Housing Factor and Capital Fund Financing Program Annual Statement/Performance and Evaluation Report

Part I: Summary	ummary				Expires 8/31/2011
PHA Name:	ıme:	Grant Type and Number			FFV of Grant
		Capital Fund Program Grant No: CT26P01550111 Replacement Housing Factor Grant No:	26P01550111 No:		FFY of Grant Approval:
A	ANSONIA HOUSING AUTHORITY	Date of CFFP:			2011
Type of Grant	Grant				
Origin	☐ Original Annual Statement ☐ Reserve for Disasters/Emergencies	✓ Revised Ann	Revised Annual Statement (revision no: 1	1)	
☐ Perfc	☐ Performance and Evaluation report for Period Ending:	☐ Final Perfor	☐ Final Performance and Evaluation Report		
Line	Summary by Development Account	Total Estimated Cost	ted Cost		Total Actual Cost ¹
	•	Original	Revised ²	Obligated	Expended
1	0100	\$224,655.00	\$0.00		
2	1406 Operations (may not exceed 20% of line 21) ³	\$0.00	\$0.00		
3	1408 Management Improvements	\$64,187.00	\$32,093.00		
4	1410 Administration (may not exceed 10% of line 21)	\$32,094.00	\$32,093.00		
5	1411 Audit				
9	1415 Liquidated Damages				
7	1430 Fees and Costs	\$0.00	\$30,000.00		
8	1440 Site Acquisition				
6	1450 Site Improvement				
10	1460 Dwelling Structures	\$0.00	\$100,000.00		
=	1465.1 Dwelling Equipment - Nonexpendable	\$0.00	\$120,000.00		
12	1470 Non-dwelling Structures				
13	1475 Non-dwelling Equipment		\$6,750.00		
14	1485 Demolition				
15	1492 Moving to Work Demonstration				
16	1495.1 Relocation Costs				
17	1499 Development Activities ⁴				

¹To be completed for the Performance and Evaluation Report.
²To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

³PHAs with under 250 units may use 100% of CFP Grants for Operations.

⁴RHF funds shall be included here.

Office of Public and Indian Housing OMB No. 2577-0226 Expires 8/31/2011 U.S. Department of Housing and Urban Development

Capital Fund Program, Capital Fund Program Replacement Housing Factor and Annual Statement/Performance and Evaluation Report Capital Fund Financing Program

Part I: Summary	ummary				•
PHA Name:	ıme:	Grant Type and Number Canital Fund Program Grant No. CT26P01550111	.CT26P01550111		FFY of Grant: FFY of Grant Approval:
- A	ANSONIA HOUSING AUTHORITY	Replacement Housing Factor Grant No: Date of CFFP:	ant No:		2011
Type of Grant	Grant				
	☐ Original Annual Statement ☐ Reserve for Disasters/Emergencies	✓ Revised	Revised Annual Statement (revision no: 1	1)	
☐ Perfc	Performance and Evaluation report for Period Ending:	☐ Final Pe	Final Performance and Evaluation Report		
Line	Summary by Development Account	Total Esti	Total Estimated Cost	Total Ac	Total Actual Cost ¹
		Original	Revised ²	Obligated	Expended
18a	1501 Collateralization or Debt Service paid by the PHA				
18ba	9000 Collateralization or Debt Service paid Via System of Direct Payment				
61	1502 Contingency (may not exceed 8% of line 20)				
20	Amount of Annual Grant: (sum of lines 2 - 19)	\$320,936.00	\$320,936.00		\$0.00
21	Amount of line 20 related to LBP Activities				
22	Amount of line 20 related to 504 Activities				
23	Amount of line 20 related to Security - Soft Costs				
24	Amount of line 20 related to Security - Hard Costs				
25	Amount of line 20 related to Energy Conservation Mwasures				
Signatur	Signature of Executive Director	Date / /	Signature of Public Housing Director	director	Date
_	linned holle	03/22/2012			
		/			

¹To be completed for the Performance and Evaluation Report.

²To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

³PHAs with under 250 units may use 100% of CFP Grants for Operations.

⁴RHF funds shall be included here.

Capital Fund Program, Capital Fund Program Replacement Housing Factor and Annual Statement/Performance and Evaluation Report Capital Fund Financing Program

Part II: Supporting Pages								
PHA Name:		Grant Type and Number	ber			Federal FFY of Grant:	rant:	
		Capital Fund Program Grant No. CT26P01550111	Grant No: CT26P01	550111		,		
OH VINOSIN V	ATIGORIA SKISHOR AIROSNA	CFFP (Yes/No)	Soften Current Mo.			2011		
ANDONIA IIC	JOSHAG AUTHOMITI	Nepiacement nousing ractor Grant No.	actor crain inc.					
Development Number	General Description of Major Work	Development	Quantity	Total Estimated Cost	ated Cost	Total Actual Cost	ual Cost	Status of Work
Name/PHA-Wide	Categories	Account No.						
Activities								
				Original	Revised1	Funds	Funds	
	•					Obligated ²	Expended ²	
	Operations	0100		224,655.00	00.0			
	Mgmt	1408		64,187.00	32,093.00			2
	Administration	1410	·	32,094.00	32,093.00			**
	Fees and Costs	1430		0.00	30,000.00			
Hynes	New roofs, new boilers	1460		0.00	100,000.00			
Riverside, Stevens	Heating system, controls	1465		0.00	120,000.00			
	Vehicle maintenance	1475		0.00	6,750.00			
					:			

¹To be completed for the Performance and Evaluation Report or a Revised Annual Statement.
²To be completed for the Performance and Evaluation Report.

Annual Statement/Performance and Evaluation Report Capital Fund Program, Capital Fund Program Replacement Housing Factor and Capital Fund Financing Program

Office of Public and Indian Housing
OMB No. 2577-0226
Expires 8/31/2011

U.S. Department of Housing and Urban Development

A-Wide (Chigina Onigina Obligation Date 1408 2/13 1450 2/13 1465 2/13 2/13 1465 2/13 2	Y All Funds Obligated Quarter Ending Date) Il Actual Obligation End End Date	All Funds		Federal FFY of Grant:
ONIA HOUSING AUTHORII ent Number A-Wide Origin Obligatio Date 1408 2/13 1410 2/13 1450 2/13 1460 2/13 1465 2/13 1465 2/13	s Obligated Adding Date) Actual Obligation End Date	All Funds		2011
Dorigin Obligatio Date 2/13 2/13 2/13 2/13 2/13 2/13 2/13 2/13	s Obligated inding Date) Actual Obligation End Date	All Funds		12011
1408 1410 1430 1450 1465 1475	Actual Obligation End Date	(Quarter Ending Date)	All Funds Expended Quarter Ending Date)	Reasons for Revised Target Dates ¹
		Original Expenditure End Date	Actual Expenditure End Date	
		2/15		
		2/15		
		2/15		
		2/15		
		2/15		
		2/15		
		2/15		
	, ·			

¹Obligation and expenditure end date can only be revised with HUD approval pursuant to Section 9j of the U.S. Housing Act of 1937, as amended.

Office of Public and Indian Housing OMB No. 2577-0226 U.S. Department of Housing and Urban Development Expires 4/30/2011

Capital Fund Program, Capital Fund Program Replacement Housing Factor and Annual Statement/Performance and Evaluation Report Capital Fund Financing Program

Part I. Summary	inmarv *				TIOTICS I SOUNT
PHA Name:	me:	Grant Type and Number Capital Fund Program Grant No: CT26E01550109	T26E01550109		FFY of Grant: FFY of Grant Approval:
A	ANSONIA HOUSING AUTHORITY	Replacement Housing Factor Grant No: Date of CFFP:	. No:		2010
Type of Grant	Grant				
Origin	☐ Original Annual Statement ☐ Reserve for Disasters/Emergencies	✓ Revised An	$oxed{arphi}$ Revised Annual Statement (revision no: 1	(1	
☐ Perfc	Performance and Evaluation report for Period Ending:	☐ Final Perfo	☐ Final Performance and Evaluation Report		
Line	Summary by Development Account	Total Estimated Cost	ated Cost	Total Ac	Total Actual Cost ¹
	•	Original	Revised ²	Obligated	Expended
-	Total non-CFP Funds				
2	1406 Operations (may not exceed 20% of line 21) ³				
3	1408 Management Improvements				
4	1410 Administration (may not exceed 10% of line 21)				-
5	1411 Audit				
9	1415 Liquidated Damages				
7	1430 Fees and Costs	\$37,650.00	\$37,650.00	\$37,650.00	\$29,238.24
8	1440 Site Acquisition				
6	1450 Site Improvement	\$101,000.00	\$7,600.00	\$7,600.00	\$0.00
10	1460 Dwelling Structures				
11	1465.1 Dwelling Equipment - Nonexpendable				
12	1470 Non-dwelling Structures		-		
13	1475 Non-dwelling Equipment	\$82,500.00	\$175,900.00	\$175,900.00	\$69,185.97
14	1485 Demolition				
15	1492 Moving to Work Demonstration				
16	1495.1 Relocation Costs				
17	1499 Development Activities ⁴				

¹To be completed for the Performance and Evaluation Report.

Page 1

²To be completed for the Performance and Evaluation Report or a Revised Annual Statement. ³PHAs with under 250 units may use 100% of CFP Grants for Operations.

⁴RHF funds shall be included here.

U.S. Department of Housing and Urban Development Office of Public and Indian Housing

OMB No. 2577-0226

Annual Statement/Performance and Evaluation Report
Capital Fund Program, Capital Fund Program Replacement Housing Factor and
Capital Fund Financing Program

Expires 4/30/2011 \$98,424.21 FFY of Grant: FFY of Grant Approval: Expended Date Total Actual Cost1 2010 Obligated Signature of Public Housing Director ☑ Revised Annual Statement (revision no: 1) ☐ Final Performance and Evaluation Report \$221,150.00 \$37,650.00 \$183,500.00 Revised² Capital Fund Program Grant No: CT26E01550109 Replacement Housing Factor Grant No: Total Estimated Cost \$183,500.00 \$221,150.00 \$37,650.00 Grant Type and Number Original Date of CFFP: ☐ Reserve for Disasters/Emergencies Amount of line 20 related to Energy Conservation Measures 9000 Collateralization or Debt Service paid Via System of 1501 Collateralization or Debt Service paid by the PHA ANSONIA HOUSING AUTHORITY Performance and Evaluation report for Period Ending: Amount of line 20 related to Security - Hard Costs 1502 Contingency (may not exceed 8% of line 20) Amount of line 20 related to Security - Soft Costs Amount of Annual Grant: (sum of lines 2 - 19) Amount of line 20 related to LBP Activities Amount of line 20 related to 504 Activities Line Summary by Development Account Signature of Executive Director ☐ Original Annual Statement Direct Payment Part I: Summary Type of Grant PHA Name: 18ba 18a 20 19 22 23 24 25 21

¹To be Jenpleted for the Performance and Evaluation Report.

²To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

³PHAs with under 250 units may use 100% of CFP Grants for Operations.

⁴RHF funds shall be included here.

Annual Statement/Performance and Evaluation Report Capital Fund Program, Capital Fund Program, Capital Fund Program Replacement Housing Factor and Capital Fund Financing Program

D. A. II. Commonting Dance								
Part II: Supporting Lages		Grant Type and Number	er			Federal FFY of Grant:	rant:	
rna name.		Capital Fund Program Grant No: CT26E01550109	irant No: CT26E01:	550109				
JII TIMODIA	ALIGORILI VINISIA	CFFP (Yes/No) No	actor Grant No.			2010		
ANSONIA HO	ANSOINIA HOUSING AUTHORITI	repracting in the second state of the second s		5		A 1 - 4 - T	1000	Stotue of Work
Development Number	General Description of Major Work	Development Account No.	Quantity	Total Estimated Cost	ated Cost	Iotal Actual Cost	ual Cost	Status of Work
A ctivities								
Acuvines				Original	Revised1	Funds	Funds	. 1
						Obligated ¹	Expended ¹	
Riverside	A/E	1430		37,650.00	37,650.00	37,650.00	29,238.24	
Riverside	Driveway realignment	1450		52,500.00	00.00		00:00	-
		0.77		31 000 00	000		00.0	7944
Riverside	Security walls	1430		21,000.00				
Riverside	Ornamental fencing	1450		17,500.00	0.00		00.00	
Riverside	Security cameras	1475		82,500.00	175,900.00	175,900.00	69,185.97	
Riverside	Tree removal/trimming	1450		00.00	7,600.00	7,600.00	0.00	
	I V L C L	·	-	221,150.00	221,150.00	221,150.00	98,424.21	
	IOIAL							

¹To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

Capital Fund Program, Capital Fund Program Replacement Housing Factor and CT26E01550109 Annual Statement/Performance and Evaluation Report Capital Fund Financing Program

U.S. Department of Housing and Urban Development

Capital Fund Program, C	Capital Fund Program, Capital Fund Program Replacen	Capital Fund Program, Capital Fund Program Replacement Housing Factor and	tor and		Office of Public and Indian Housing
Capital Fund Financing Program	Program	CT26E01550109			OMB No. 2577-0226 Expires 4/30/2011
Part III: Implementation Schedule for Capital Fund Financing Program	Schedule for Capital Fur	d Financing Program			
PHA Name:					Federal FFY of Grant:
ANSONIA HOUSING AUTHORITY	G AUTHORITY				2010
Development Number Name/PHA-Wide Activities	All Funds (Quarter E	All Funds Obligated (Quarter Ending Date)	All Funds Expended (Quarter Ending Date)	Expended ding Date)	Reasons for Revised Target Dates ¹
	Original Obligation End Date	Actual Obligation End Date	Original Expenditure End Date	Actual Expenditure End Date	
1430	9/11		9/12		
1450	9/11		9/12		
1475	9/11		9/12		
				,	

Obligation and expenditure end date can only be revised with HUD approval pursuant to Section 9j of the U.S. Housing Act of 1937, as amended.

U.S. Department of Housing and Urban Development Office of Public and Indian Housing OMB No. 2577-0226 Expires 8/31/2011

Annual Statement/Performance and Evaluation Report Capital Fund Program, Capital Fund Program, Capital Fund Program Replacement Housing Factor and Capital Fund Financing Program

D. 4 1. C.	The Company				
PHA Name:	me:	Grant Type and Number	T26R015501-11		FFY of Grant: FFY of Grant Approval:
A.	ANSONIA HOUSING AUTHORITY	Replacement Housing Factor Grant No: Date of CFFP:	l No:		2011
Type of Grant	irant				
Origir	☑ Original Annual Statement ☐ Reserve for Disasters/Emergencies	☐ Revised An	Revised Annual Statement (revision no:		
☐ Perfo	n re	☐ Final Perfo	Final Performance and Evaluation Report		
I, ine	Summary by Development Account	Total Estimated Cost	ated Cost	Total Ac	Total Actual Cost ¹
П	,	Original	Revised ²	Obligated	Expended
-	0100				
2	1406 Operations (may not exceed 20% of line 21) ³				
3	1408 Management Improvements				
4	1410 Administration (may not exceed 10% of line 21)				
5	1411 Audit				
9	1415 Liquidated Damages				
7	1430 Fees and Costs				
8	1440 Site Acquisition				
6	1450 Site Improvement				
10	1460 Dwelling Structures				
Ξ	1465.1 Dwelling Equipment - Nonexpendable				
12	1470 Non-dwelling Structures				
13	1475 Non-dwelling Equipment				
4	1485 Demolition				
15	1492 Moving to Work Demonstration				
1 2	1495 1 Relocation Costs				
17	1499 Development Activities	\$39,622.00			
1					

¹To be completed for the Performance and Evaluation Report.

²To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

³PHAs with under 250 units may use 100% of CFP Grants for Operations.

⁴RHF funds shall be included here.

Office of Public and Indian Housing OMB No. 2577-0226 Expires 8/31/2011 U.S. Department of Housing and Urban Development

Capital Fund Program, Capital Fund Program Replacement Housing Factor and Annual Statement/Performance and Evaluation Report Capital Fund Financing Program

Part I: Summary	ummary				1707/16/9 S211/5011
PHA Name:	ame:	Grant Type and Number			FFY of Grant:
	ANSONIA HOUSING AUTHORITY	Capital Fund Program Grant No: CT26R015501-11 Replacement Housing Factor Grant No: Date of CFFP:	126R015501-11 No:		Fry of Grant Approval:
Type of Grant	Grant				
Orig	☑ Original Annual Statement ☐ Reserve for Disasters/Emergencies	☐ Revised An	Revised Annual Statement (revision no:	(
□ Perf	Performance and Evaluation report for Period Ending:	☐ Final Perfo	Final Performance and Evaluation Report		
Line	Summary by Development Account	Total Estimated Cost	ated Cost	Total Ac	Total Actual Cost ¹
	•	Original	Revised ²	Obligated	Expended
18a	1501 Collateralization or Debt Service paid by the PHA		-		
18ba	9000 Collateralization or Debt Service paid Via System of Direct Payment				
19	1502 Contingency (may not exceed 8% of line 20)				
20	Amount of Annual Grant: (sum of lines 2 - 19)	\$39,622.00			\$0.00
21	Amount of line 20 related to LBP Activities				
22	Amount of line 20 related to 504 Activities				
23	Amount of line 20 related to Security - Soft Costs				
24	Amount of line 20 related to Security - Hard Costs	/			-
25	Amount of lipe 20 related to Encigy Confervation Mwasures				
Signatur	Signature of Executive Difect.	Pate Sil 2007 Sil	Signature of Public Housing Director	Director	Date
		*	And the second s		

¹To be completed for the Performance and Evaluation Report.

²To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

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Capital Fund Program, Capital Fund Program Replacement Housing Factor and Annual Statement/Performance and Evaluation Report Capital Fund Financing Program

_								· · · · · · · · · · · · · · · · · · ·
Tropic Co condum				Status of Work				
	Grant:			Total Actual Cost		Funds	$\mathrm{Expended}^2$	
	Federal FFY of Grant:	,	2011	Total Ac		Funds	Obligated ²	
				Total Estimated Cost		Revised1		
		15501-11		Total Estir		Original		39,622.00
	mber	rant No: CT26R0	actor Grant No:	Quantity				
	Grant Type and Number	Capital Fund Program Grant No: CT26R015501-11	CFFP (Yes/No) Replacement Housing Factor Grant No:	Development	Account No.			1499
			ANSONIA HOUSING AUTHORITY	General Description of Major Work	Categories			Redevelopment
Part II: Sunnorting Pages	PHA Name:		ANSONIA HO	Development Number	Name/PHA-Wide Activities			Riverside

 $^{^1\!\}text{To}$ be completed for the Performance and Evaluation Report or a Revised Annual Statement. $^2\!\text{To}$ be completed for the Performance and Evaluation Report.

Page 3

Annual Statement/Performance and Evaluation Report Capital Fund Program, Capital Fund Program Replacement Housing Factor and Capital Fund Financing Program

Office of Public and Indian Housing
OMB No. 2577-0226
Expires 8/31/2011

U.S. Department of Housing and Urban Development

Part III: Implementation	Part III: Implementation Schedule for Capital Fund Financing Program	d Financing Program			
PHA Name:					Federal FFY of Grant:
ANSONIA HOUSING AUTHORITY	4G AUTHORITY				2011
Development Number Name/PHA-Wide Activities	All Funds Obligated (Quarter Ending Date)	All Funds Obligated Quarter Ending Date)	All Funds (Quarter Ei	All Funds Expended (Quarter Ending Date)	Reasons for Revised Target Dates ¹
	Original Obligation End Date	Actual Obligation End Date	Original Expenditure End Date	Actual Expenditure End Date	
			,		
				,	

¹Obligation and expenditure end date can only be revised with HUD approval pursuant to Section 9j of the U.S. Housing Act of 1937, as amended.

Office of Public and Indian Housing OMB No. 2577-0226 Expires 8/31/2011 U.S. Department of Housing and Urban Development

Capital Fund Program, Capital Fund Program Replacement Housing Factor and Annual Statement/Performance and Evaluation Report Capital Fund Financing Program

Part I. Summary	IBMAEV				
PHA Name:	me:	Grant Type and Number			FFY of Grant:
		Capital Fund Program Grant No: CT26R015501-12	C26R015501-12		FFY of Grant Approval:
A]	ANSONIA HOUSING AUTHORITY	Replacement Housing Factor Grant No: Date of CFFP:	No:		2012
Type of Grant	Frant				
origin or	☐ Original Annual Statement ☐ Reserve for Disasters/Emergencies	Revised An	Revised Annual Statement (revision no:	•	
□ Perfc	Performance and Evaluation report for Period Ending:	☐ Final Perfor	☐ Final Performance and Evaluation Report		
Line	Summary by Development Account	Total Estimated Cost	ited Cost	Total Act	Total Actual Cost ¹
	•	Original	Revised ²	Obligated	Expended
1	0010				
2	1406 Operations (may not exceed 20% of line 21) ³				
3	1408 Management Improvements				-
4	1410 Administration (may not exceed 10% of line 21)				
5	1411 Audit				
9	1415 Liquidated Damages				
7	1430 Fees and Costs				
8	1440 Site Acquisition				
6	1450 Site Improvement				
10	1460 Dwelling Structures				
11	1465.1 Dwelling Equipment - Nonexpendable				
12	1470 Non-dwelling Structures				
13	1475 Non-dwelling Equipment				
14	1485 Demolition	•			
15	1492 Moving to Work Demonstration				
16	1495.1 Relocation Costs				
17	1499 Development Activities ⁴	\$38,409.00			

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Office of Public and Indian Housing
OMB No. 2577-0226
Expires 8/31/2011 U.S. Department of Housing and Urban Development

Capital Fund Program, Capital Fund Program Replacement Housing Factor and Annual Statement/Performance and Evaluation Report Capital Fund Financing Program

Part I: Summary	III MI MAELA				Expires 6/31/2011
PHA Name:	ame:	Grant Type and Number			FFY of Grant:
<u> </u>	ANSONIA HOUSING AUTHORITY	Capital Fund Program Grant No: CT26R015501-12 Replacement Housing Factor Grant No: Date of CFFP:	7726R015501-12 t No:		FFY of Grant Approval: 2012
Type of Grant	Grant				
⊡ onig	☑ Original Annual Statement ☐ Reserve for Disasters/Emergencies	☐ Revised An	☐ Revised Annual Statement (revision no:	•	
☐ Perf	Performance and Evaluation report for Period Ending:	☐ Final Perfo	☐ Final Performance and Evaluation Report	+	
Line	Summary by Development Account	Total Estimated Cost	ated Cost	Total Ac	Total Actual Cost ¹
		Original	Revised ²	Obligated	Expended
18a	1501 Collateralization or Debt Service paid by the PHA				
18ba	9000 Collateralization or Debt Service paid Via System of Direct Payment				
19	1502 Contingency (may not exceed 8% of line 20)				
20	Amount of Annual Grant: (sum of lines 2 - 19)	\$38,409.00			\$0.00
21	Amount of line 20 related to LBP Activities				
22	Amount of line 20 related to 504 Activities				
23	Amount of line 20 related to Security - Soft Costs				
24	Amount of line 20 related to Security - Hard Costs				
25	Amount of lipe 20 related to Energy Const valid Mwasures	/ /			
Signatur	Signature by Executive Director	s 23/60	Signature of Public Housing Director	Director	Date
		1			

To be completed for the Performance and Evaluation Report.

²To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

³PHAs with under 250 units may use 100% of CFP Grants for Operations.

⁴RHF funds shall be included here.

Part II: Supporting Pages	S							
PHA Name:		Grant Type and Number	ber			Federal FFY of Grant:	rant:	
		Capital Fund Program Grant No: CT26R015501-12	irant No: CT26R01	5501-12		•		
ANSONIA HC	ANSONIA HOUSING AUTHORITY	Replacement Housing Factor Grant No:	actor Grant No:			7107		
Development Number	General Description of Major Work	Development	Quantity	Total Estimated Cost	lated Cost	Total Actual Cost	ual Cost	Status of Work
Name/PHA-Wide	Categories	Account No.						
Activities								
				Original	Revised1	Funds	Funds	
						Obligated ²	Expended ²	
Riverside	Redevelopment	1499		38,409.00				er.

 $^{^1}$ To be completed for the Performance and Evaluation Report or a Revised Annual Statement. 2 To be completed for the Performance and Evaluation Report.

form HUD 50075.1 (4/2008)

Annual Statement/Performance and Evaluation Report Capital Fund Program, Capital Fund Program, Capital Fund Program Replacement Housing Factor and Capital Fund Financing Program

Office of Public and Indian Housing
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Expires 8/31/2011

U.S. Department of Housing and Urban Development

Part III: Implementation Schedule for Capital Fund Financing Program	Schedule for Capital Fund	d Financing Program			
PHA Name:					Federal FFY of Grant:
ANSONIA HOUSING AUTHORITY	VG AUTHORITY				2012
Development Number Name/PHA-Wide Activities	All Funds Obligated (Quarter Ending Date)	All Funds Obligated Quarter Ending Date)	All Funds Expended (Quarter Ending Date)		Reasons for Revised Target Dates ¹
	Original Obligation End Date	Actual Obligation End Date	Original Expenditure End Date	Actual Expenditure End Date	

¹Obligation and expenditure end date can only be revised with HUD approval pursuant to Section 9j of the U.S. Housing Act of 1937, as amended.

ATTACHMENT F

Capital Fund Prog	gram Five-	Year Action Plan			
Part I: Summary					
PHA Name				✓ Original 5-Year Plan	
ANSONIA HOUSING AU	ITHORITY			Revision No:	
Development Number/Name/HA- Wide	Year 1	Work Statement for Year 2 FFY Grant: 2013 PHA FY: 2013	Work Statement for Year 3 FFY Grant: 2014 PHA FY: 2014	Work Statement for Year 4 FFY Grant: 2015 PHA FY: 2015	Work Statement for Year 5 FFY Grant: 2016 PHA FY:2016
HA-Wide					
		0	0	0	0
B. Physical Improvements Subtotal	See Annual	220,936	220,936	230,936	230,936
C. Mgmt Improvements D. HA-Wide Nondwelling	Statement	30,000	30,000	30,000	30,000
Structures & Equipment		20,000	20,000	10,000	10,000
E. Administration		30,000	30,000	30,000	30,000
F. Fees and Costs		10,000	10,000	10,000	10,000
G. Contingency		10,000	10,000	10,000	10,000
H. Operations					
Other (Relocation)		0	0	0	0
CFP Funds Listed for 5-year planning		320,936	320,936	320,936	320,936
Replacement Housing Factor Funds					

Part II: Support Activities for Year 1	Activities for Year: 2013 FFY Grant: CT26P01550113 PHA FY: 2013			Activities for Year: 2014 FFY Grant: CT26P01550114 PHA FY: 2014		
	Development Name/Number	Major Work Categories	Estimated Cost	Development Name/Number	Major Work Categories	Estimated Cost
See Annual	HA-Wide	Admin Mgmt Improvements	30,000 30,000	HA-Wide	Admin Mgmt Improvements	30,000 30,000
Statement	15-1 Riverside Apts	Heat & DHW upkeep Unit Reconfiguration Roof Repair Site Improvements	70,936	15-1 Riverside Apts	Site Improvements Heat & DHW upkeep	55,936.00
	15-2 John J. Stevens	Roof Replacement	50,000	15-2 John J. Stevens	Parking Lot Improvemt Roof Replacement	75,000.00
	15-3 Monsignor Hynes	New Roofs	100,000	15-3 Monsignor Hynes	New Roofs	100,000.00
	Fees & Costs		10,000.00	Fees & Costs		10,000.00
	Non-Dwelling Equip	Vehicle Replacement Snow Removal Equip.	20,000.00	Non-Dwelling Equip	Vehicle Replacement Snow Removal Equip.	20,000.00
	Contingency		10,000.00	Contingency		10,000.00
Total CFP Estimated Cost			320,936			320,936

Capital Fund Program Five-Year Action Plan

Part II: Supporting Pages - Work Activities

Activities for Year: 2015
FFY Grant: CT26P01550115
PHA EY: 2015

Activities for Year: 2016 FFY Grant: CT26P01550116 PHA FY: 2016

	PHA FY: 2015			PHA FY: 2016			
Development Name/Number	Major Work Categories	Estimated Cost	Development Name/Number	Major Work Categories	Estimated Cost		
HA-Wide	Admin	30,000		Admin	30,000		
45 4 Divoraido Anto	Mgmt Improvements	30,000	45 4 Diverside Ante	Mgmt Improvements Heat/DHW upkeep	30,000		
15-1 Riverside Apts	Dumpster Repair Heat/DHW upkeep Site work	79,936	15-1 Riverside Apts	Site work	43,936		
15-2 John J. Stevens	upgrade kitchens new windows	17,000 34,000	15-2 John J. Stevens	upgrade kitchens improve handicap access	17,000 20,000		
15-3 Monsignor Hynes	New Roofs	100,000	15-3 Monsignor Hynes	replace water heaters, New Roofs reroute sewer line in bldg 4	150,000		
Fees & Costs		10,000	Fees & Costs		10,000		
Contingency		10,000	Contingency		10,000		
Vehicle, equip repair		10,000	Vehicle, equip repair		10,000		
Total CFPEstimated Cost		320,936			320,936		

ATTACHMENT G

Brief Statement of Progress in Meeting 5-Year Plan Goals & Objectives for 2011

The Housing Authority of the City of Ansonia (AHA) qualified for Safety and Security Grant funding in the amount of \$221,150 for the Riverside Apartments. This complex has experienced a plethora of crime related activities in the recent past. To address the concerns of residents, and offer them a higher degree of public safety, the AHA in cooperation and consultation with the APD, will be utilizing the grant funds to complete three areas of improvement: Modifications to the current fencing apparatus, modifications to the entry and exit points, and the installation of approximately 35 security cameras. The goal is to complete all security improvements by April 2012.

Two ARRA Grants were awarded to the AHA during 2010. The formula-driven grant was utilized to replace roofs and staircases on the four buildings located on the north side of Riverside Apartments. The formula-driven grant also funded a mechanical decentralization plant and complete redesign of the central courtyard at the Riverside Apartments complex. The competitive-driven ARRA is being used to replace the heat pumps and boilers at the John J. Stevens Apartments, along with significant electrical updates and installation of new energy efficient HVAC units at the Monsignor Hynes Apartments. Security cameras are also being installed at both of these communities to improve resident security.

After school programs at the Tinney Community Center, located at the Riverside Apartments complex, are continuing with grant funds provided by The Community Foundation for Greater New Haven. Opportunities to qualify for educational grants, to expound on educational efforts to end intergenerational dependence are being pursued in cooperation with the City of Ansonia and the Ansonia Board of Education.

ROSS Grant services continue to be provided by our Resident Services Coordinator (RSC). These services include on site consultation/office hours for the RSC, midday meals made available to interested residents, bingo and other social functions. The Agency has begun an initiative to develop new programs as a means to facilitate the needs of the elderly and disabled tenants.

The AHA is continuing to work in with City of Ansonia and local service providers to improve and expand the services provided to the Agency's disabled tenants. The AHA remains committed to addressing the resident's concerns and cooperating with all stakeholders to form goals and solutions that match resident's needs with financial viability.

The AHA has submitted a second demolition application to the Special Applications Center (SAC) in an effort to further the redevelopment at the Riverside Apartments complex. To

replace units lost to demolition, the AHA may work with a developer to rebuild units under a mixed-finance arrangement and/or seek relocation vouchers for displaced tenants.

The AHA hired a Property Manager in May 2011as a means to improve the quality of assisted housing. Specifically, the Property Manager is working towards more timely vacancy turnover, reducing the number of day's work orders remain outstanding, coordination with local law enforcement on security initiatives, lease enforcement and improving tenant relations.

ATTACHMENT H

Public Comments

There were no public comments.

ATTACHMENT I

VIOLENCE AGAINST WOMEN ACT (VAWA) PROVISIONS

1. Purpose and Applicability

This Section of the Administrative Plan sets forth the applicable AHA policy provisions implementing the Violence Against Women and Department of Justice Reauthorization Act of 2005 (Pub. L. 109-162) as they apply to participants in the Housing Choice Voucher (HCV) or Section 8 Program and to landlords, owners and property managers in that program and set forth AHA's policies and procedures regarding domestic violence, dating violence, and stalking, as hereinafter defined.

This Policy shall be applicable to the administration by AHA of all federally subsidized Section 8 rental assistance under the United States Housing Act of 1937 (42 U.S.C. §1437 et seq.). Notwithstanding its title, this policy is gender-neutral, and its protections are available to males who are victims of domestic violence, dating violence, or stalking as well as female victims of such violence.

The Purposes of these provisions are as follows:

- A. To maintain compliance with all applicable legal requirements imposed by VAWA;
- **B.** To ensure the physical safety of victims of actual or threatened domestic violence, dating violence, or stalking who are assisted by AHA;
- C. To provide and maintain housing opportunities for victims of domestic violence dating violence, or stalking;
- **D.** To Create and maintain collaborative arrangements between AHA, law enforcement authorities, victim service providers, and others to promote the safety and well-being of victims of actual and threatened domestic violence, dating violence and stalking, who are assisted by AHA; and
- E. To take appropriate action in response to an incident or incidents of domestic violence, dating violence, or stalking, affecting individuals assisted by AHA.

2. Definitions

A. <u>Domestic Violence</u> — The term 'domestic violence' includes felony or misdemeanor crimes of violence (including verbal, emotional, psychological, physical and sexual acts of abuse) committed by a current or former spouse of the victim, by a person with whom the victim shares a child in common, by a person who is cohabiting with or has cohabited with the victim as a spouse, by a person similarly situated to a spouse of the victim under the domestic or family violence laws of the jurisdiction receiving grant monies, or by any other

person against an adult or youth victim who is protected from that person's acts under the domestic or family violence laws of the jurisdiction."

B. <u>Dating Violence</u> – means violence committed by a person

- (A) who is or has been in a social relationship of a romantic or intimate nature with the victim; and
- **(B)** where the existence of such a relationship shall be determined based on consideration of the following factors:
 - (i) The length of the relationship.
 - (ii) The type of relationship.
 - (iii) The frequency of interaction between the persons involved in the relationship.

C. Stalking - means:

- (A) (i) to follow, pursue, or repeatedly commit acts with the intent to kill, injure, harass, or intimidate another person; and (ii) to place under surveillance with the intent to kill, injure, harass or intimidate another person; and
- **(B)** in the course of, or as a result of, such following, pursuit, surveillance or repeatedly committed acts, to place a person in reasonable fear of the death of, or serious bodily injury to, or to cause substantial emotional harm to:
 - (i) that person;
 - (ii) a member of the immediate family of that person; or
 - (iii) the spouse or intimate partner of that person;

D. <u>Immediate Family Member</u> - means, with respect to a person:

- (A) a spouse, parent, brother, sister, or child of that person, or an individual to whom that person stands in loco parentis; or
- (B) any other person living in the household of that person and related to that person by blood or marriage.

E. <u>Perpetrator</u> – means:

Person who commits an act of domestic violence, dating violence or stalking against a victim.

3. Admissions and Screening

- A. <u>Non-Denial of Assistance</u>. AHA will not deny admission to the Section 8 rental assistance program to any person because that person is or has been a victim of domestic violence, dating violence, or stalking, provided that such person is otherwise qualified for such admission.
- **B.** <u>Mitigation of Disqualifying Information</u>. When so requested in writing by an applicant for assistance whose history includes incidents in which the applicant was a victim of domestic violence, AHA shall take such information into account in mitigation of potentially disqualifying information, such as poor credit history or previous damage to a dwelling. If requested by an applicant to take such mitigating information into account, AHA shall be entitled to conduct such inquiries as are reasonably necessary to verify the claimed history of domestic violence and its probable relevance to the potentially disqualifying information. AHA will not disregard or mitigate potentially disqualifying information if the applicant household includes a perpetrator of a previous incident or incidents of domestic violence.

4. Termination of Tenancy or Assistance

- A. <u>VAWA Protections</u>. Under VAWA persons assisted under the Section 8 rental assistance program have the following specific protections, which will be observed by AHA:
 - 1. An incident or incidents of actual or threatened domestic violence, dating violence, or stalking will not be considered to be a "serious or repeated" violation of the lease by the victim or threatened victim of that violence and will not be good cause for terminating the tenancy or occupancy rights of or assistance to the victim of that violence.
 - 2. In addition to the foregoing, tenancy or assistance will not be terminated by AHA as a result of criminal activity, if that criminal activity is directly related to domestic violence, dating violence or stalking engaged in by a member of the assisted household, a guest or another person under the tenant's control, and the tenant or an immediate family member is the victim or threatened victim of this criminal activity. However, the protection against termination of tenancy or assistance described in this paragraph is subject to the following limitations:
 - (a) Nothing contained in this paragraph shall limit any otherwise available authority of AHA or a Section 8 owner or manager to terminate tenancy, evict, or to terminate assistance, as the case may be, for any violation of a lease or program requirement not premised on the act or acts of domestic violence, dating violence, or stalking in question against the tenant or a member of the tenant's household. However, in taking any such action, neither AHA nor a Section 8 manager or owner may apply a more demanding standard to the victim of domestic violence dating violence or stalking than that applied to other tenants.
 - (b) Nothing contained in this paragraph shall be construed to limit the authority

of AHA or a Section 8 owner or manager to evict or terminate from assistance any tenant or lawful applicant if the owner, manager or AHA, as the case may be, can demonstrate an actual and imminent threat to other tenants or to those employed at or providing service to the property, if the tenant is not evicted or terminated from assistance.

B. Removal of Perpetrator Further, notwithstanding anything in paragraph VI.A.2. or Federal, State or local law to the contrary, AHA or a Section 8 owner or manager, as the case may be, may bifurcate a lease, or remove a household member from a lease, without regard to whether a household member is a signatory to a lease, in order to evict, remove, terminate occupancy rights, or terminate assistance to any individual who is a tenant or lawful occupant and who engages in acts of physical violence against family members or others. Such action against the perpetrator of such physical violence may be taken without evicting, removing, terminating assistance to, or otherwise penalizing the victim of such violence who is also the tenant or a lawful occupant. Such eviction, removal, termination of occupancy rights, or termination of assistance shall be effected in accordance with the procedures prescribed by law applicable to terminations of tenancy and evictions by AHA. Leases used for all operated by AHA and, at the option of Section 8 owners or managers, leases for dwelling units occupied by families assisted with Section 8 rental assistance administered by AHA, shall contain provisions setting forth the substance of this paragraph.

5. Verification of Domestic Violence, Dating Violence and Stalking

A. <u>Requirement for Verification</u> The law allows, but does not require, AHA or a Section 8 owner or manager to verify that an incident or incidents of actual or threatened domestic violence, dating violence, or stalking claimed by a tenant or other lawful occupant is bona fide and meets the requirements of the applicable definitions set forth in this policy. Subject only to waiver as provided in paragraph VII. C., AHA shall require verification in all cases where an individual claims protection against an action involving such individual proposed to be taken by AHA. Section 8 owners or managers receiving rental assistance administered by AHA may elect to require verification, or not to require it as permitted under applicable law.

Verification of a claimed incident or incidents of actual or threatened domestic violence, dating violence or stalking may be accomplished in one of the following three ways:

- 1. <u>HUD-approved form</u> by providing to AHA or to the requesting Section 8 owner or manager a written certification, on a form approved by the U.S. Department of Housing and Urban Development (HUD), that the individual is a victim of domestic violence, dating violence or stalking that the incident or incidents in question are bona fide incidents of actual or threatened abuse meeting the requirements of the applicable definition(s) set forth in this policy. The incident or incidents in question must be described in reasonable detail as required in the HUD-approved form, and the completed certification must include the name of the perpetrator.
- 2. <u>Other documentation</u> by providing to AHA or to the requesting Section 8 owner or manager documentation signed by an employee, agent, or volunteer of a victim service

provider, an attorney, or a medical professional, from whom the victim has sought assistance in addressing the domestic violence, dating violence or stalking, or the effects of the abuse, described in such documentation. The professional providing the documentation must sign and attest under penalty of perjury (28 U.S.C. 1746) to the professional's belief that the incident or incidents in question are bona fide incidents of abuse meeting the requirements of the applicable definition(s) set forth in this policy. The victim of the incident or incidents of domestic violence, dating violence or stalking described in the documentation must also sign and attest to the documentation under penalty of perjury.

- 3. <u>Police or court record</u> by providing to AHA or to the requesting Section 8 owner or Federal, State or local police or court record describing the incident or incidents in question.
- **B.** <u>Time allowed providing verification/ failure to provide</u>. An individual who claims protection against adverse action based on an incident or incidents of actual or threatened domestic violence, dating violence or stalking, and who is requested by AHA, or a Section 8 owner or manager to provide verification, must provide such verification within 14 business days (*i.e.*, 14 calendar days, excluding Saturdays, Sundays, and federally-recognized holidays) after receipt of the request for verification. Failure to provide verification, in proper form within such time will result in loss of protection under VAWA and this policy against a proposed adverse action.
- C. <u>Waiver of verification requirement</u>. The Executive Director of AHA, or a Section 8 owner or manager, may, with respect to any specific case, waive the above-stated requirements for verification and provide the benefits of this policy based on the victim's statement or other corroborating evidence. Such waiver may be granted in the sole discretion of the Executive Director, owner or manager. Any such waiver must be in writing. Waiver in a particular instance or instances shall not operate as precedent for, or create any right to, waiver in any other case or cases, regardless of similarity in circumstances.

6. Confidentiality

A. <u>Right of confidentiality</u>. All information (including the fact that an individual is a victim of domestic violence, dating violence or stalking) provided to AHA or to a Section 8 owner or manager in connection with a verification required under section VII of this policy or provided in lieu of such verification where a waiver of verification is granted, shall be retained by the receiving party in confidence and shall neither be entered in any shared database nor provided to any related entity, except where disclosure is:

- 1. requested or consented to by the individual in writing, or
- 2. required for use in an eviction proceeding or in connection with termination of Section 8 assistance, as permitted in VAWA, or
- 3. otherwise required by applicable law.

B. <u>Notification of rights</u>. All tenants of tenants participating in the Section 8 rental assistance program administered by AHA shall be notified in writing concerning their right to confidentiality and the limits on such rights to confidentiality.

7. Transfer to New Residence

- **A.** <u>Application for transfer</u>. In situations that involve significant risk of violent harm to an individual as a result of previous incidents or threats of domestic violence, dating violence, or stalking, AHA will, approve transfer by a Section 8 tenant to a different unit in order to reduce the level of risk to the individual. A tenant who requests transfer must attest in such application that the requested transfer is necessary to protect the health or safety of the tenant or another member of the household who is or was the victim of domestic violence dating violence or stalking and who reasonably believes that the tenant or other household member will be imminently threatened by harm from further violence if the individual remains in the present dwelling unit.
- **B.** Action on applications. AHA will act upon such an application within ten (10) calendar days.
- C. <u>Portability</u>. Notwithstanding the foregoing, a Section 8-assisted tenant will not be denied portability to a unit located in another jurisdiction (notwithstanding the term of the tenant's existing lease has not expired, or the family has not occupied the unit for 12 months) so long as the tenant has complied with all other requirements of the Section 8 program and has moved from the unit in order to protect a health or safety of an individual member of the household who is or has been the victim of domestic violence dating violence or stalking and who reasonably believes that the tenant or other household member will be imminently threatened by harm from further violence if the individual remains in the present dwelling unit.

8. Court Orders/Family Break-up

A. <u>Court orders</u>. It is AHA's policy to honor orders entered by courts of competent jurisdiction affecting individuals assisted by AHA and their property. This includes cooperating with law enforcement authorities to enforce civil protection orders issued for the protection of victims and addressing the distribution of personal property among household members in cases where a family breaks up.

9. Notification

AHA shall provide written notification to applicants, tenants, and Section 8 owners and managers, concerning the rights and obligations created under VAWA relating to confidentiality, denial of assistance and, termination of tenancy or assistance.

10. Relationship with Other Applicable Laws

Neither VAWA nor this Policy implementing it shall preempt or supersede any provision of Federal, State or local law that provides greater protection than that provided under VAWA for victims of domestic violence, dating violence or stalking.

ATTACHMENT J

VIOLENCE AGAINST WOMEN ACT (VAWA) POLICY (Act Includes Men)

1. Purpose and Applicability

The purpose of AHA's Policy pursuant to the Violence Against Women Act (VAWA) is to implement the applicable provisions of the Violence Against Women and Department of Justice Reauthorization Act of 2005 (Pub. L. 109-162) and more generally to set forth AHA's policies and procedures regarding domestic violence, dating violence, and stalking, as hereinafter defined. This Policy shall be applicable to the administration by AHA of all federally subsidized public housing under the United States Housing Act of 1937 (42 U.S.C. §1437 et seq.). Notwithstanding its title, this policy is gender-neutral, and its protections are available to males who are victims of domestic violence, dating violence, or stalking as well as female victims of such violence. This section of the ACOP sets forth those provisions of AHA's VAWA Policy which apply to the Residents of AHA's Low Income Public Housing (LIPH).

The purposes of these provisions are as follows:

- A. To maintain compliance with all applicable legal requirements imposed by VAWA:
- B. To ensure the physical safety of victims of actual or threatened domestic violence, dating violence, or stalking who are assisted by AHA;
- C. To providing and maintain housing opportunities for victims of domestic violence dating violence, or stalking;
- D. To create and maintain collaborative arrangements between AHA, law enforcement authorities, victim service providers, and others to promote the safety and well-being of victims of actual and threatened domestic violence, dating violence and stalking, who are assisted by AHA; and
- E. To take appropriate action in response to an incident or incidents of domestic violence, dating violence, or stalking, affecting individuals assisted by AHA.

2. Definitions

- A. <u>Domestic Violence</u> The term 'domestic violence' includes:
 - (A) felony or misdemeanor crimes of violence (including verbal, emotional, psychological, physical and sexual acts of abuse) committed by a current or former spouse of the victim, by a person with whom the victim shares a child in common, by a person who is cohabiting with or has cohabited with the victim as a spouse, by a person similarly situated to a spouse of the

- victim under the domestic or family violence laws of the jurisdiction receiving grant monies, or
- (B) by any other person against an adult or youth victim who is protected from that person's acts under the domestic or family violence laws of the jurisdiction."
- B. <u>Dating Violence</u> means violence committed by a person:
 - (A) who is or has been in a social relationship of a romantic or intimate nature with the victim; and
 - (B) where the existence of such a relationship shall be determined based on a consideration of the following factors:
 - (i) The length of the relationship.
 - (ii) The type of relationship.
 - (iii) The frequency of interaction between the persons involved in the relationship.
- C. Stalking The term "stalking" includes:
 - (A)to follow, pursue, or repeatedly commit acts with the intent to kill, injure, harass, or intimidate another person; and (ii) to place under surveillance with the intent to kill, injure, harass or intimidate another person; and
 - (B) in the course of, or as a result of, such following, pursuit, surveillance of repeatedly committed acts, to place a person in reasonable fear of the death of, or serious bodily injury to, or to cause substantial emotional harm to:
 - (i) that person;
 - (ii) a member of the immediate family of that person; or
 - (iii) the spouse or intimate partner of that person;
- D. Immediate Family Member means, with respect to a person:
 - (A) a spouse, parent, brother, sister, or child of that person, or an individual to whom that person stands in loco parentis; or
 - (B) any other person living in the household of that person and related to that person by blood or marriage.
- E. <u>Perpetrator</u> means person who commits an act of domestic violence, dating violence or stalking against a victim.

3. Admissions and Screening

A. <u>Non-Denial of Assistance</u>. AHA will not deny admission to public housing to any person because that person is or has been a victim of domestic violence, dating violence, or stalking, provided that such person is otherwise qualified for such admission.

- B. <u>Admissions Preference</u>. Applicants for housing assistance from AHA will receive a preference in admissions by virtue of their status as victims of domestic violence, as set forth at Chapter II –E (The Preference System) of the ACOP.
- C. <u>Mitigation of Disgualifying Information</u>. When so requested in writing by an applicant for assistance whose history includes incidents in which the applicant was a victim of domestic violence, AHA shall take such information into account in mitigation of potentially disqualifying information, relevant to the domestic violence such as poor credit history or previous damage to a dwelling. If requested by an applicant to take such mitigating information into account, AHA shall be entitled to conduct such inquiries as are reasonably necessary to verify the claimed history of domestic violence and its probable relevance to the potentially disqualifying information. AHA will not disregard or mitigate potentially disqualifying information if the applicant household includes a perpetrator of a previous incident or incidents of domestic violence.

4. Termination of Tenancy or Assistance in connection with VAWA.

A. VAWA Protections

Under VAWA, public housing residents and persons assisted under the Section 8 rental assistance program have the following specific protections, which will be observed by AHA:

- 1. An incident or incidents of actual or threatened domestic violence, dating violence, or stalking will not be considered to be a "serious or repeated" violation of the lease by the victim or threatened victim of that violence and will not be good cause for terminating the tenancy or occupancy rights of or assistance to the victim of that violence.
- 2. In addition to the foregoing, tenancy or assistance will not be terminated by AHA as a result of criminal activity, if that criminal activity is directly related to domestic violence, dating violence or stalking engaged in by a member of the assisted household, a guest or another person under the resident's control, and the resident or an immediate family member is the victim or threatened victim of this criminal activity.

However, the protection against termination of tenancy or assistance described in this paragraph is subject to the following limitations:

(a). Nothing contained in this paragraph shall limit any otherwise available authority of AHA to terminate tenancy, evict, or to terminate assistance, as the case may be, for any violation of a lease or program requirement not premised on the act or acts of domestic violence, dating violence, or stalking in question against the resident or a member of the resident's household. However, in taking any such action, AHA shall not apply a more demanding standard to the victim of domestic violence dating violence or stalking than that applied to other residents.

(b). Nothing contained in this paragraph shall be construed to limit the authority of AHA or to evict or terminate from assistance any resident or lawful applicant if AHA, can demonstrate an actual and imminent threat to other residents or to those employed at or providing service to the property, if the resident is not evicted or terminated from assistance.

B. Removal of Perpetrator

Further, notwithstanding anything in Chapter VI.A. (Leasing Policies) or Federal, State or local law to the contrary, AHA may bifurcate a lease, or remove a household member from a lease, without regard to whether a household member is a signatory to a lease, in order to evict, remove, terminate occupancy rights, or terminate assistance to any individual who is a resident or lawful occupant and who engages in acts of physical violence against family members or others. Such action against the perpetrator of such physical violence may be taken without evicting, removing, terminating assistance to, or otherwise penalizing the victim of such violence who is also the resident or a lawful occupant. Such eviction, removal, termination of occupancy rights, or termination of assistance shall be effected in accordance with the procedures prescribed by law applicable to terminations of tenancy and evictions by AHA. Leases used for all public housing operated by AHA shall contain provisions setting forth the substance of this paragraph.

5. Verification of Domestic Violence, Dating Violence or Stalking

A. Requirement for Verification

The law allows, but does not require, AHA to verify that an incident or incidents of actual or threatened domestic violence, dating violence, or stalking claimed by a resident or other lawful occupant is *bona fide* and meets the requirements of the applicable definitions set forth in this policy. Subject only to waiver as provided below in paragraph 5., AHA shall require verification in all cases where an individual claims protection against an action involving such individual, proposed to be taken by AHA. AHA may elect to require verification, or not to require it as permitted under applicable law.

Verification of a claimed incident or incidents of actual or threatened domestic violence, dating violence or stalking may be accomplished in one of the following three ways:

1. <u>HUD-approved form</u> - by providing to AHA a written certification, on a form approved by the U.S. Department of Housing and Urban Development (HUD), that the individual is a victim of domestic violence, dating violence or stalking that

the incident or incidents in question are bona fide incidents of actual or threatened abuse meeting the requirements of the applicable definition(s) set forth in this policy. The incident or incidents in question must be described in reasonable detail as required in the HUD-approved form, and the completed certification must include the name of the perpetrator.

- 2. <u>Other documentation</u> by providing to AHA documentation signed by an employee, agent, or volunteer of a victim service provider, an attorney, or a medical professional, from whom the victim has sought assistance in addressing the domestic violence, dating violence or stalking, or the effects of the abuse, described in such documentation. The professional providing the documentation must sign and attest under penalty of perjury (28 U.S.C. 1746) to the professional's belief that the incident or incidents in question are bona fide incidents of abuse meeting the requirements of the applicable definition(s) set forth in this policy. The victim of the incident or incidents of domestic violence, dating violence or stalking described in the documentation must also sign and attest to the documentation under penalty of perjury.
- 3. <u>Police or court record</u> by providing to AHA a local police or court record describing the incident or incidents in question.
- 4. <u>Time allowed to provide verification/ failure to provide</u> An individual who claims protection against adverse action based on an incident or incidents of actual or threatened domestic violence, dating violence or stalking, and is requested by AHA, to return the completed application with supporting documentation, i.e. police reports, courts records, etc, within 14 business days (i.e., 14 calendar days, excluding Saturdays, Sundays, and federally-recognized holidays) of the date the application was requested. Failure to provide verification, in proper form within such time will result in loss of protection under VAWA and this policy against a proposed adverse action.

4. Waiver of verification requirement -

The Executive Director of AHA, may, with respect to any specific case, waive the above-stated requirements for verification and provide the benefits of this policy based on the victim's statement or other corroborating evidence. Such waiver may be granted in the sole discretion of the Executive Director. Any such waiver must be in writing. Waiver in a particular instance or instances shall not operate as precedent for, or create any right to, waiver in any other case or cases, regardless of similarity in circumstances.

6. Confidentiality

A. Right of confidentiality. All information (including the fact that an individual is a victim of domestic violence, dating violence or stalking) provided to AHA in connection with a verification required under Chapter V of this policy or provided in lieu of such verification where a waiver of verification is granted, shall be retained by AHA in confidence and shall neither be entered in any shared database nor provided to any related entity, except where disclosure is:

- 1. requested or consented to by the individual in writing, or
- 2. required for use in a public housing eviction proceeding as permitted in VAWA, or
- 3. otherwise required by applicable law.
- <u>B. Notification of rights</u>. All residents of AHA's Low Income Public Housing (LIPH) shall be notified in writing concerning their right to confidentiality and the limits on such rights to confidentiality.

7. Transfer to New Residence

- A. <u>Application for transfer.</u> In situations that involve significant risk of violent harm to an individual as a result of previous incidents or threats of domestic violence, dating violence, or stalking, AHA will, if an approved unit size is available at a location that may reduce the risk of harm, approve transfer by a public housing resident to a different unit in order to reduce the level of risk to the individual. A resident who requests transfer must attest in such application that the requested transfer is necessary to protect the health or safety of the resident or another member of the household who is or was the victim of domestic violence dating violence or stalking and who reasonably believes that the resident or other household member will be imminently threatened by harm from further violence if the individual remains in the present dwelling unit.
- B. <u>Action on applications.</u> AHA will act upon such an application within ten (10) calendar days.
- C. <u>No right to transfer.</u> AHA will make every effort to accommodate requests for transfer when suitable alternative vacant units are available and the circumstances warrant such action. However, the decision to grant or refuse to grant a transfer shall lie within the sole discretion of AHA, and this policy does not create any right on the part of any applicant to be granted a transfer.
- D. <u>Family rent obligations</u>. If a family occupying AHA public housing moves before the expiration of the lease term in order to protect the health or safety of a household member, the family will remain liable for the rent during the remainder of the lease term unless released by AHA. In cases where AHA determines that the family's decision to move was reasonable under the circumstances, AHA may wholly or partially waive rent payments and any rent owed shall be reduced by the amounts of rent collected for the remaining lease term from a resident subsequently occupying the unit.

8. Court Orders/Family Break-up

A. Court orders

It is AHA's policy to honor orders entered by courts of competent jurisdiction affecting individuals assisted by AHA. This includes cooperating with law enforcement authorities

to enforce civil protection orders issued for the protection of victims and addressing the distribution of personal property among household members in cases where a family breaks up.

B. Family break-up

Other AHA policies regarding family break-up are contained in AHA 's VAWA Policy, in AHA's Annual Plan and in AHA's Section 8 Administrative Plan.

9. Relationships with Service Providers

It is the policy of AHA to cooperate with organizations and entities, both private and governmental, that provide shelter and/or services to victims of domestic violence. If AHA staff becomes aware that an individual assisted by AHAis a victim of domestic violence, dating violence or stalking, AHA will refer the victim to such providers of shelter or services as appropriate. Notwithstanding the foregoing, this Policy does not create any legal obligation requiring AHA either to maintain a relationship with any particular provider of shelter or services to victims or domestic violence or to make a referral in any particular case. AHA's annual public housing agency plan shall describe providers of shelter or services to victims of domestic violence with which AHA has referral or other cooperative relationships.

(a) Notification

AHA shall provide written notification to applicants and residents, concerning the rights and obligations created under VAWA relating to confidentiality, denial of assistance and, termination of tenancy or assistance.

10. Relationship with Other Applicable Laws

Neither VAWA nor this Policy implementing it shall preempt or supersede any provision of Federal, State or local law that provides greater protection than that provided under VAWA for victims of domestic violence, dating violence or stalking.

G. PUBLIC OUTREACH ABOUT AVAILABLE ASSISTANCE

AHA will publicize and disseminate information to make known the availability of housing units and housing-related services for very low income families on a regular basis.

AHA will communicate the status of housing availability to other service providers in the community. AHA will advise them of housing eligibility factors and guidelines in order that they can make proper referrals for those who seek housing.

When a AHA public housing waiting list opens, AHA will publicize the availability and nature of housing assistance for very low income and low income families in a newspaper of general circulation, including local minority publications and other suitable means.

ATTACHMENT K COMMUNITY SERVICE

[24 CFR Part 960 Subpart F and 24 CFR 903.7(I)]

Important Notice: The community service requirement was suspended for Federal Fiscal Year 2002, for all developments except HOPE VI developments (Department of Veteran Affairs and Housing and Urban Development, and Independent Agencies Appropriation Act, 2002, at Section 432). The requirement has been reinstated for Federal fiscal year 2003 and thereafter.

A. REQUIREMENT

Each adult resident of AHA shall:

- Contribute 8 hours per month of community service (not including political activities) within the community in which that adult resides; or
- Participate in an economic self-sufficiency program (defined below) for 8 hours per month; or
- Perform 8 hours per month of combined activities (community service and economic self-sufficiency program)

This obligation is required each month. Generally, community service hours do not accrue from month to month. However, AHA may make exceptions based on circumstances that may prevent the individual from performing the eight hours of community service/economic self-sufficiency.

B. EXEMPTIONS

AHA shall provide an exemption from the community service requirement for any individual who:

- Is 62 years of age or older;
- Is a blind or disabled individual, as defined under section 216[i][l] or 1614 of the Social Security Act, and who is unable to comply with this section, or is a primary caretaker of such individual; Disability is defined as receiving Social Security Disability, SSI, or SAGA or if the applicant does not receive the above, new certification of disability must be provided.
- Is engaged in a work activity as defined in section 407[d] of the Social Security Act;
- Meets the requirements for being exempted from having to engage in a work activity under the State program funded under part A of title IV of the Social Security Act, or under any other welfare program of the

- State in which the public housing agency is located, including a Stateadministered welfare-to-work program; or
- An adult member is a member of a family receiving assistance under a State program funded under part A of title IV of the Social Security Act, or under any other welfare program of the State in which the public housing agency is located, including a State-administered welfare-towork program, and has not been found by the State or other administering entity to be in noncompliance with such program.

AHA will re-verify exemption status annually except in the case of an individual who is 62 years of age or older.

AHA will permit residents to change exemption status during the year if status changes.

C. DEFINITION OF COMMUNITY SERVICE

For purposes of satisfying the community service requirement, community service is volunteer work, which includes, but is not limited to:

- Work at a local institution including but not limited to: school, child care center, hospital, hospice, recreation center, senior center, adult day care center, homeless shelter, indigent feeding program, cooperative food bank, etc.;
- Work with a nonprofit organization that serves AHA residents or their children such as: Boy Scouts, Girl Scouts, Boys or Girls Clubs, 4-H program, PAL, Garden Center, Community clean up programs, beautification programs, other youth or senior organizations;
- Volunteer work at AHA;
- Helping resident organizations or neighborhood groups with special projects, such as Food Banks and community gardens;
- Serving as an officer of a TRC or other resident organization;
- Caring for children of other residents so they may volunteer.

Community service does not include political activity.

D. DEFINITION OF ECONOMIC SELF SUFFICIENCY PROGRAM

For purposes of satisfying the community service requirement, participating in an economic self-sufficiency program is defined, in addition to the exemption definitions described above, by HUD as: Any program designed to encourage, assist, train or facilitate economic independence of assisted families or to provide work for such families.

These economic self-sufficiency programs can include job training, employment counseling, work placement, basic skills training, education, English proficiency, workfare, financial or household management, apprenticeship, or any other

program necessary to ready a participant to work (such as substance abuse or mental health treatment).

AHA will give residents the greatest choice possible in identifying community service opportunities.

AHA will consider a broad range of self-sufficiency opportunities.

E. ANNUAL DETERMINATIONS

For each public housing resident subject to the requirement of community service, AHA shall, at least 30 days before the expiration of each lease term, review and determine the compliance of the resident with the community service requirement.

Such determination shall be made in accordance with the principles of due process and on a nondiscriminatory basis.

AHA will verify compliance annually. If qualifying activities are administered by an organization other than AHA, AHA will obtain verification of family compliance from such third parties.

Family members will not be permitted to self-certify that they have complied with community service requirements.

F. NON COMPLIANCE

If AHA determines that a resident subject to the community service requirement has not complied with the requirement, AHA shall notify the resident of such noncompliance, and that:

The determination of noncompliance is subject to the administrative grievance procedure under the AHA's Grievance Procedures; and

Unless the resident enters into an agreement to comply with the community service requirement, the resident's lease will not be renewed, and subject to termination and eviction.

AHA may not renew or extend the resident's lease upon expiration of the lease term and shall take such action as is necessary to terminate the tenancy of the household, unless AHA enters into an agreement, before the expiration of the lease term, with the resident. The resident may cure any noncompliance with the community service requirement by participating in an economic self-sufficiency program for or contributing to community service as many additional hours as the resident needs to comply in the aggregate with such requirement over the 12-month term of the lease.

The head of household and the noncompliant adult must sign the agreement to cure.

Ineligibility for Occupancy for Noncompliance

AHA shall not renew or extend any lease, or provide any new lease, for a dwelling unit for any household that includes an adult member who was subject to the community service requirement and failed to comply with the requirement.

G. AHA RESPONSIBILITY

To the greatest extent possible and practicable, AHA will:

Provide information about agencies that provide opportunities for residents, including persons with disabilities, to participate in economic self-sufficiency and community service activities.

Provide opportunities in our own developments for volunteer work or self-sufficiency programs.

PHA Certifications of Compliance with PHA Plans and Related R e g u l a t i o n s

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing
OMB No. 2577-0226
Expires 08/30/2011

PHA Certifications of Compliance with the PHA Plans and Related Regulations: Board Resolution to Accompany the PHA 5-Year and Annual PHA Plan

Acting on behalf of the Board of Commissioners of the Public Housing Agency (PHA) listed below, as its Chairman or other authorized PHA official if there is no Board of Commissioners, I approve the submission of the ____ 5-Year and/or ___ Annual PHA Plan for the PHA fiscal year beginning, hereinafter referred to as" the Plan", of which this document is a part and make the following certifications and agreements with the Department of Housing and Urban Development (HUD) in connection with the submission of the Plan and implementation thereof:

- 1. The Plan is consistent with the applicable comprehensive housing affordability strategy (or any plan incorporating such strategy) for the jurisdiction in which the PHA is located.
- 2. The Plan contains a certification by the appropriate State or local officials that the Plan is consistent with the applicable Consolidated Plan, which includes a certification that requires the preparation of an Analysis of Impediments to Fair Housing Choice, for the PHA's jurisdiction and a description of the manner in which the PHA Plan is consistent with the applicable Consolidated Plan.
- 3. The PHA certifies that there has been no change, significant or otherwise, to the Capital Fund Program (and Capital Fund Program/Replacement Housing Factor) Annual Statement(s), since submission of its last approved Annual Plan. The Capital Fund Program Annual Statement/Annual Statement/Performance and Evaluation Report must be submitted annually even if there is no change.
- 4. The PHA has established a Resident Advisory Board or Boards, the membership of which represents the residents assisted by the PHA, consulted with this Board or Boards in developing the Plan, and considered the recommendations of the Board or Boards (24 CFR 903.13). The PHA has included in the Plan submission a copy of the recommendations made by the Resident Advisory Board or Boards and a description of the manner in which the Plan addresses these recommendations.
- 5. The PHA made the proposed Plan and all information relevant to the public hearing available for public inspection at least 45 days before the hearing, published a notice that a hearing would be held and conducted a hearing to discuss the Plan and invited public comment.
- 6. The PHA certifies that it will carry out the Plan in conformity with Title VI of the Civil Rights Act of 1964, the Fair Housing Act, section 504 of the Rehabilitation Act of 1973, and title II of the Americans with Disabilities Act of 1990.
- 7. The PHA will affirmatively further fair housing by examining their programs or proposed programs, identify any impediments to fair housing choice within those programs, address those impediments in a reasonable fashion in view of the resources available and work with local jurisdictions to implement any of the jurisdiction's initiatives to affirmatively further fair housing that require the PHA's involvement and maintain records reflecting these analyses and actions.
- 8. For PHA Plan that includes a policy for site based waiting lists:
 - The PHA regularly submits required data to HUD's 50058 PIC/IMS Module in an accurate, complete and timely manner (as specified in PIH Notice 2006-24);
 - The system of site-based waiting lists provides for full disclosure to each applicant in the selection of the development in which to reside, including basic information about available sites; and an estimate of the period of time the applicant would likely have to wait to be admitted to units of different sizes and types at each site;
 - Adoption of site-based waiting list would not violate any court order or settlement agreement or be inconsistent with a pending complaint brought by HUD;
 - The PHA shall take reasonable measures to assure that such waiting list is consistent with affirmatively furthering fair housing;
 - The PHA provides for review of its site-based waiting list policy to determine if it is consistent with civil rights laws and certifications, as specified in 24 CFR part 903.7(c)(1).
- 9. The PHA will comply with the prohibitions against discrimination on the basis of age pursuant to the Age Discrimination Act of 1975.
- 10.4 The PHA will comply with the Architectural Barriers Act of 1968 and 24 CFR Part 41, Policies and Procedures for the Enforcement of Standards and Requirements for Accessibility by the Physically Handicapped.
- 11. The PHA will comply with the requirements of section 3 of the Housing and Urban Development Act of 1968, Employment Opportunities for Low-or Very-Low Income Persons, and with its implementing regulation at 24 CFR Part 135.
- 12. The PHA will comply with acquisition and relocation requirements of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970 and implementing regulations at 49 CFR Part 24 as applicable.

- 13. The PHA will take appropriate affirmative action to award contracts to minority and women's business enterprises under 24 CFR 5.105(a).
- 14. The PHA will provide the responsible entity or HUD any documentation that the responsible entity or HUD needs to carry out its review under the National Environmental Policy Act and other related authorities in accordance with 24 CFR Part 58 or Part 50, respectively.
- 15. With respect to public housing the PHA will comply with Davis-Bacon or HUD determined wage rate requirements under Section 12 of the United States Housing Act of 1937 and the Contract Work Hours and Safety Standards Act.
- 16. The PHA will keep records in accordance with 24 CFR 85.20 and facilitate an effective audit to determine compliance with program requirements.
- 17. The PHA will comply with the Lead-Based Paint Poisoning Prevention Act, the Residential Lead-Based Paint Hazard Reduction Act of 1992, and 24 CFR Part 35.
- 18. The PHA will comply with the policies, guidelines, and requirements of OMB Circular No. A-87 (Cost Principles for State, Local and Indian Tribal Governments), 2 CFR Part 225, and 24 CFR Part 85 (Administrative Requirements for Grants and Cooperative Agreements to State, Local and Federally Recognized Indian Tribal Governments).
- 19. The PHA will undertake only activities and programs covered by the Plan in a manner consistent with its Plan and will utilize covered grant funds only for activities that are approvable under the regulations and included in its Plan.
- 20. All attachments to the Plan have been and will continue to be available at all times and all locations that the PHA Plan is available for public inspection. All required supporting documents have been made available for public inspection along with the Plan and additional requirements at the primary business office of the PHA and at all other times and locations identified by the PHA in its PHA Plan and will continue to be made available at least at the primary business office of the PHA.
- 21. The PHA provides assurance as part of this certification that:
 - (i) The Resident Advisory Board had an opportunity to review and comment on the changes to the policies and programs before implementation by the PHA;
 - (ii) The changes were duly approved by the PHA Board of Directors (or similar governing body); and
 - (iii) The revised policies and programs are available for review and inspection, at the principal office of the PHA during normal business hours.
- 22. The PHA certifies that it is in compliance with all applicable Federal statutory and regulatory requirements.

, is true and accurate. Warning: HUD will , 1012; 31 U.S.C. 3729, 3802)

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Civil Rights Certification

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing
Expires 08/30/2011

Civil Rights Certification

Ansonia Housing Authority

PHA Name

Annual Certification and Board Resolution

Acting on behalf of the Board of Commissioners of the Public Housing Agency (PHA) listed below, as its Chairman or other authorized PHA official if there is no Board of Commissioner, I approve the submission of the Plan for the PHA of which this document is a part and make the following certification and agreement with the Department of Housing and Urban Development (HUD) in connection with the submission of the Plan and implementation thereof:

The PHA certifies that it will carry out the public housing program of the agency in conformity with title VI of the Civil Rights Act of 1964, the Fair Housing Act, section 504 of the Rehabilitation Act of 1973, and title II of the Americans with Disabilities Act of 1990, and will affirmatively further fair housing.

CT015

PHA Number/HA Code

I hereby certify that all the information stated herein, as well as any information provided in the accompaniment herewith, is true and accurate. Warning: HUD will prosecute false claims and statements. Conviction may result in criminal and/or civil penalties. (18 U.S.C. 1001, 1010, 1012; 31 U.S.C. 3729, 3802)				
Name of Authorized Official James Tyma M J M M M M M M M M M M M	Title Board Chairman			
Signature	Date 01-18-2012			





State of Connecticut Department of Economic and Community Development

January 18, 2012

Mr. Bill Passage Ansonia Housing Authority 36 Main Street Ansonia, CT. 06401

Dear Mr. Passage:

RE: PHA Plan - Consolidated Plan Certification

Enclosed please find the Certification of Consistency with the State of Connecticut's 2010-2015 Consolidated Plan for Housing and Community Development that you requested for the Ansonia Housing Authority's 2012 Annual PHA Plan.

Should you require any additional information, please do not hesitate to contact me at (860) 270-8169.

Sincerely,

Debra Landry

Economic and Community Development Agent

Office and Strategy and Policy

Enclosure

Certification by State or Local Official of PHA Plans Consistency with the Consolidated Plan

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing
OMB# 2577-0226
Expires 08/30/2011

Certification by State or Local Official of PHA Plans Consistency with the Consolidated Plan

I, Ronald F. Angelo Jr. Deputy Comm. of the Dept. of Econ. and Comm. Dev. certify that the Five Year and Annual PHA Plan of the Housing Authority of the City of Ansonia is consistent with the Consolidated Plan of the State of Connecticut prepared pursuant to 24 CFR Part 91.

Signed / Dated by Appropriate State or Local Official

Certification for a Drug-Free Workplace

U.S. Department of Housing and Urban Development

Applicant Name	
Ansonia Housing Authority	
Program/Activity Receiving Federal Grant Funding	
Low Income Public Housing, Housing Choice Voucher, Capital	Funds
Acting on behalf of the above named Applicant as its Authoriz the Department of Housing and Urban Development (HUD) regar	ed Official, I make the following certifications and agreements to
I certify that the above named Applicant will or will continue to provide a drug-free workplace by:	(1) Abide by the terms of the statement; and(2) Notify the employer in writing of his or her conviction for a violation of a criminal drug statute occurring in the
a. Publishing a statement notifying employees that the unlawful manufacture, distribution, dispensing, possession, or use of a controlled substance is prohibited in the Applicant's workplace and specifying the actions that will be taken against employees for violation of such prohibition.	workplace no later than five calendar days after such conviction; e. Notifying the agency in writing, within ten calendar days after receiving notice under subparagraph d.(2) from an em-
b. Establishing an on-going drug-free awareness program to inform employees	ployee or otherwise receiving actual notice of such conviction Employers of convicted employees must provide notice, including position title, to every grant officer or other designee or
(1) The dangers of drug abuse in the workplace;	whose grant activity the convicted employee was working unless the Federalagency has designated a central point for the
(2) The Applicant's policy of maintaining a drug-free workplace;	receipt of such notices. Notice shall include the identification number(s) of each affected grant;
(3) Any available drug counseling, rehabilitation, and employee assistance programs; and	f. Taking one of the following actions, within 30 calendar days of receiving notice under subparagraph d.(2), with respect to any employee who is so convicted
(4) The penalties that may be imposed upon employees for drug abuse violations occurring in the workplace. c. Making it a requirement that each employee to be engaged in the performance of the great he given a corp. of the statement	(1) Taking appropriate personnel action against such ar employee, up to and including termination, consistent with the requirements of the Rehabilitation Act of 1973, as amended; or
n the performance of the grant be given a copy of the statement required by paragraph a.; d. Notifying the employee in the statement required by paragraph a. that, as a condition of employment under the grant, the employee will	(2) Requiring such employee to participate satisfactorily in a drug abuse assistance or rehabilitation program approved for such purposes by a Federal, State, or local health, law enforcement, or other appropriate agency;
employee win	g. Making a good faith effort to continue to maintain a drug- free workplace through implementation of paragraphs a. thru f
2. Sites for Work Performance. The Applicant shall list (on separate p HUD funding of the program/activity shown above: Place of Perform Identify each sheet with the Applicant name and address and the program.	mance shall include the street address, city, county, State, and zip code
Riverside Apartments, Olson Drive, Ansonia, CT 06401 Stevens Apartments, 75 Central Street, Ansonia, CT 06401 Monsignor Hynes Apartments, 70 Woodlawn Avenue, Anson	iia, CT 06401
Check here if there are workplaces on file that are not identified on the atta	ched sheets.
I hereby certify that all the information stated herein, as well as any inf Warning: HÚD will prosecute false claims and statements. Conviction ma (18 U.S.C. 1001, 1010, 1012; 31 U.S.C. 3729, 3802)	
Name of Authorized Official James Tyma	Title Board Chairman
Signature Jame J Thue	Date 01-18-2012

Certification of Payments to Influence Federal Transactions

U.S. Department of Housing and Urban Development Office of Public and Indian Housing

Applicant Name	
Ansonia Housing Authority	
Program/Activity Receiving Federal Grant Funding	
Low Income Public Housing, Housing Choice Voucher, Capital I	Fund Programs
The undersigned certifies, to the best of his or her knowledge and	belief, that:
(1) No Federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of an agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement. (2) If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of an agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, the undersigned shall complete and submit Standard Form-LLL, Disclosure Form to Report Lobbying, in accordance with its instructions.	(3) The undersigned shall require that the language of this certification be included in the award documents for all subawards at all tiers (including subcontracts, subgrants, and contracts under grants, loans, and cooperative agreements) and that all sub recipients shall certify and disclose accordingly. This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by Section 1352, Title 31, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.
New Assistant Official	TWA
Name of Authorized Official	Title
James Tyma	Board Chairman
Signature J. Tyma	Date (mm/dd/yyyy) $0(-18-2012$

DISCLOSURE OF LOBBYING ACTIVITIES

Approved by OMB 0348-0046

Authorized for Local Reproduction

Standard Form LLL (Rev. 7-97)

Complete this form to disclose lobbying activities pursuant to 31 U.S.C. 1352

(See reverse for public burden disclosure.) 1. Type of Federal Action: 2. Status of Federal Action: 3. Report Type: a. bid/offer/application a. contract a. initial filing a. b. grant b. initial award b. material change c. cooperative agreement c. post-award For Material Change Only: d. loan vear _ quarter ___ e. loan guarantee date of last report f. loan insurance 4. Name and Address of Reporting Entity: 5. If Reporting Entity in No. 4 is a Subawardee, Enter Name **⋉** Prime Subawardee and Address of Prime: Tier , if known: ANSONIA HOUSING AUTHORITY 36 MAIN STREET ANSONIA, CT 06401 Congressional District, if known: 3 Congressional District, if known: 6. Federal Department/Agency: 7. Federal Program Name/Description: LIPH, Housing Choice Voucher, Capital Fund Housing and Urban Development CFDA Number, if applicable: _____ 8. Federal Action Number, if known: 9. Award Amount, if known: 10. a. Name and Address of Lobbying Registrant b. Individuals Performing Services (including address if (if individual, last name, first name, MI): different from No. 10a) (last name, first name, MI): Information requested through this form is authorized by title 31 U.S.C. section
 1352. This disclosure of lobbying activities is a material representation of fact Signature: upon which reliance was placed by the tier above when this transaction was made Print Name: or entered into. This disclosure is required pursuant to 31 U.S.C. 1352. This information will be available for public inspection. Any person who fails to file the Title: Board Chairman required disclosure shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure. Telephone No.: 203-736-8888 Date: 1-18-2012

Federal Use Only:

HOUSING AUTHORITY of the City of Ansonia

JAMES J. TYMA, Chairman FLORE JETT MICHAEL VACCA SHAUN SUPP 36 MAIN STREET ANSONIA, CT 06401 PHONE: (203)736-8888 (TDD/TTY) 1-800-842-9710 FAX (203)736-8833

Minutes of the
Resident Advisory Board Meeting
Wednesday, January 25, 2012
1:00 p.m.
At the Monsignor Hynes Apartments
Ortoli Community Center
70 Woodlawn Ave.
Ansonia, CT 06401

Sixteen Residents representing John J. Stevens Apartments-75 Central St., Ansonia, Ct, Monsignor Hynes Apartments-70 Woodlawn Ave., Ansonia, Ct and James J. O'Donnell Apartments-63 Woodlawn Ave., Ansonia, Ct attended the RAB Meeting.

Vicky Clifford, PHM, Ross Grant Coordinator and Resident Services Coordinator moderated the meeting.

The residents were given an explanation of the 5 year and Annual Plan. Vicky Clifford informed residents of the Ansonia Housing Authority's plan to designate a development as Elderly Only. It was stressed that this was only a plan and would keep the residents informed regarding any changes to occupancy changes that would occur at any of the Senior Developments. Vicky mentioned that she has suggested to the AHA that the windows be replaced for Monsignor Hynes and John J. Stevens. The windows are hazardous and are not energy efficient. The residents were all in agreement regarding the windows.

Pauline Taylor a resident at John J. Stevens Apartments spoke about the current break in of the laundry facility committed by a resident of the building. Vicky informed the Pauline that the matter has in fact been turned over to AHA's Legal Counsel. Robert Henderson, Property Manager addressed the damage done to the washer and dryer. Mr. Henderson would be speaking with the interim director regarding funding to replace the washer and dryer. Pauline Taylor also pointed out that since the break-in the residents are fearful and the residents would like a security guard. Vicky informed Pauline and other residents in attendance that the funding is virtually non-existent for a security guard. Residents must call the Police for crime related matters. Vincent Giammario also a resident at John J. Stevens stated that the flood light was changed over the laundry room door and now it is so

dark it would be very easy for an elderly person to be a victim of a crime when using the laundry room. Vincent requests a better means of adequate lighting.

Joseph Donofrio a resident at John J. Stevens Apartments questioned the designation of making a building elderly only and how that would affect him. Vicky explained the HUD definition of Elderly and Disabled. Vicky also informed Joseph that it was only in the comment stage right now.

Debra Hanley a resident of Monsignor Hynes Apartments questioned the Ansonia Housing Authority's ability to enforce policy regarding the lease, requested the gutters be cleaned, the development needs sidewalk repair and an increase in parking spaces. Ronald Mazzola also a resident at Monsignor Hynes Apartments requested a larger Resident Only Parking sign be placed in the island. Vicky Clifford addressed the parking issue, the AHA has an engineer who informed the AHA that expanding parking between buildings 3 and 4 was out of the question, there was simply not enough room for a car to back up and maneuver out of the area. The Parking Policy was explained. There was no parking policy in effect prior to 1995. Vicky, after receiving many complaints from residents living in the development that could not park because visitors were taking the spaces, developed the Parking Policy for the courtesy of the residents. Debra Hanley also requested that the AHA look into banning smoking in apartments and hallways.

Olga Cappetta, a resident at Monsignor Hynes voiced concerns regarding lack of activities and transportation. Vicky addressed this issue by stating that funding is very tight and resident activities are generally coordinated by volunteers in each Senior Development with funding assistance from the Ansonia Housing Authority if available. The Ansonia Housing Authority has two vans, one of which is used for the Tinney Center to transport children to special events. The second van is a handicapped accessible vehicle which is currently out of service. Vicky stated that she would speak with the Interim Exe. Dir. to see if funding could be made available for getting the handicapped accessible van back in service. This would take some time. Two residents volunteered their services for driving.

Vicky explained the Inclement Weather Policy notifying the residents that they would be receiving a notice for snow removal.

Aubrey Lyte a resident of James J. O'Donnell's questioned whether or not the AHA could enforce or post a sign regarding the theft of mail. Vicky informed Aubrey that the building has security cameras and if he feels his mail has been taken he should report that to the Ansonia Police Department.

With no further questions or comments the meeting adjourned at 2:20 p.m.

Sign In Sheet RAB 1.25.2012

Deborah Hancey
Fois S. Romand
NORM DEN PERS
Marion OSTERHOUDT
Gega Cappetta
France R College
Joe Donotio
Caul of Alyte
Rev. Samuel Keny
Joten Soverning
Joseph Soverning
Joseph Month
Vingent Lammario

MINUTES

Resident Advisory Board Meeting February 23, 2012 2:00 p.m.

Main Office of the Ansonia Housing Authority

Fourteen Program Participants took part in the Resident Advisory Board Meeting, regarding the Ansonia Housing Authority's 5 year rolling plan and annual plan in accordance with HUD's guidelines.

Vicky Clifford, ROSS Grant Coordinator, Resident Service Coordinator moderated the meeting.

Vicky went thru the 5 year rolling plan. This plan lays out the goals and missions for the Ansonia Housing Authority. Currently the five year plan details the demolition of the Riverside Apartments remaining buildings on the South Side of the development.

Windows are being considered for the 2 Elderly/Senior Developments.

The AHA is reviewing energy efficient methods for all of its developments.

The Annual Plan also includes the Riverside Apartments demolition. The Annual plan details our departments and functions.

An explanation of funding was given, which included the Capital Fund and the funding for all the AHA's developments.

Security Cameras have been installed at Riverside Apartments. The Roof at the Tinney Center will be replaced for the safety of the residents and the Tinney Center's after school program.

Laundry facilities are to be updated, as well as re-building a laundry room at the Riverside Apartments.

Latoya Ballard and Lakeeta Bellamy of Riveside Apartments inquired about the housing vouchers and when they would become available. Vicky informed them that the AHA currently conducted a survey which is an important piece of the package and once reviewed it will be submitted to the HUD office. Vicky stated that in the meantime repairs would be made for the safety of the residents. The two residents shared concerns about being displaced, Vicky assured them that they would be kept aware of changes and would be given assistance to find other means of housing. Vicky also gave them contact numbers for any other concerns they may have regarding the redevelopment/demolition plan.

Vicky covered the Section 8 Program and the portability feature. Neil Conley a resident and a section 8 program participant of 15 West Main Street asked if the AHA could do anything to have the laundry room updated in that facility. Vicky responded by saying that, that is the responsibility of the landlord. The AHA is only responsible for the inspections and eligibility requirements for the program.

Laura Imbro inquired about the portability feature of the program. Vicky explained the time line for portability and the issues that may arise with the feature.

Vincent Giammario a resident at the John J. Stevens Apartments inquired about Security Cameras. Vicky stated that security camera's were being reviewed and planned for John J. Stevens and Monsignor Hynes Apartments. This would not happen until the buildings on the South Side of Riverside Apartments come down; the cameras will be reused in these developments.

Vicky stated that it is very important for the tenants and program participants to bring their concerns and comments to the Ansonia Housing Authority, keeping open communication will help build a better relationship between both parties.

There were no further questions or comments.

The meeting concluded at 3:00 p.m.

SIGN IN SHEET RESIDENT ADVISORY BOARD FEBRUARY 23, 2012 2:00 P.M.

Name	Address	· ·
Loura Imbro	133 North State Steet Ansonia,	
James Evans	70 Woodlam Ave Apt 55 Ams	oniuc tource
Lataja Balke	4 ~ 5 - 1 1 - 1/	ol
	amy 134 Oscubrive	
	TE 63 WOODLAWN AVENUE	
	1, 20 Woodlawn ave. Att 1/4, A.	_ ·
_	Poult, Town Clos, APT. S.	
	nley 70 Woodlaws ave # 20/	
Margarita 6	wadarrong To woodlawn &	42 VL
TELMA NIEU	ES=118 BEAUER St 1 Floor A.	nsonia toby
	eiro 96 Beaver Sti-Mu	
	y 15 W. Main St. Angonia	
ROBERT S. JAR	JM Mario 75 Central # 34	ACT 0640
Vincent Gi	3 M Mario 75 Central # 34	Anson it d